05/023/10/basic/989/TN 5

POMS

PROGRAM OPERATIONS MANUAL SYSTEM

Part 05

Supplemental Security Income

CHAPTER 023

Posteligibility Events

SUBCHAPTER 10

SSI Interfaces

This issuance completely replaces the existing Basic (SSA Pub. No. 68-0502310, dated October 1986) and TN 5 dated 6-89.

U.S. Department of
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Check List of POMS Transmittals Received

Basic 10/27/86 HC. GP-C 507310

TN	Date	Initial	TN	Date	Initial	TN	Date	Initial	TN	Date	Initial
1	11/13/87	JR	37			73			109		
2	8/3/88	JR	38			74			110		
3	3/16/89	JG	39			75			111		
4	5/9/89	JG	40			76			112		
5	6/23/89	JG	41			77			113		
6			42			78			114		
7			43			79			115		
8			44			80			116		
9			45			81			117		
10			46			82			118		
11			47			83			119		
12			48			84			120		
13			49			85			121		
14			50			86			122		
15			51			87			123		
16			52			88			124		
17			53			89			125		
18			54			90			126		
19			55			91			127		
20			56			92			128		
21			57			93			129		
22			58			94			130		
23			59			95			131		
24			60			96			132		
25			61			97			133		
26			62			98			134		
27			63			99			135		
28			64			100			136		
29			65			101			137		
30			66			102			138		
31			67			103			139		
32			68			104			140		
33			69			105			141		
34			70			106			142		
35			71			107			143		
36			72			108			144		

Check List of POMS Transmittals Received

TN	Date	Initial	TN	Date	Initial	TN	Date	Initial	TN	Date	Initial
145			181			217			253		
146			182			218			254		
147			183			219			255		
148			184			220			256		
149			185			221			257		
150			186			222			258		
151			187			223			259		
152			188			224			260		
153			189			225			261		
154			190			226			262		
155			191			227			263		
156			192			228			264		
157			193			229			265		
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162			198			234			270		
163			199			235			271		
164			200			236			272		
165			201			237			273		
166			202			238			274		
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168			204			240			276		
169			205			241			277		
170			206			242			278		
171			207			243			279		
172			208			244			280		
173			209			245			281		
174			210			246			282		
175			211			247			283		
176			212			248			284		
177			213			249			285		
178			214			250			286		
179			215			251			287		
180			216			252			288		

Chapter SI 023 - Posteligiblity Events

Subchapter 10 - SSI Interfaces

Former POMS Reference	New POMS Reference
01230.001	01230.001
01230.005	01230.005
01230.010	01230.010
01230.015	01230.005
01230.020	01230.020
01230.025	01230.025
01230.030	01230.030
01230.040	01230.040
01230.041	01230.041
01230.042	01230.042
01230.045	01230.045
01230.046	01230.046
	01230.047
	01230.048
	01230.049
01230.047	Deleted
01230.050	01230.050
01230.052	01230.052
01230.055	01230.055
01230.060	01230.060
01230.061	01230.061
01230.999	01230.999

02310.000 Supplemental Security Income (SSI) Interfaces

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Supplemental Security Income (SSI) Interfaces

SSI Interfaces—General

02310.001 Overview

A. Introduction

SSI recipients (or their representative payees) are responsible for reporting any information which may affect their eligibility or payment amount. Although recipients are often advised of their reporting responsibilities, they do not always report necessary information on a timely basis. To help ensure that the information is received, the Social Security Administration (SSA) has established interfaces with Federal and State agencies whose records contain information which may affect SSI eligibility or payment amount. This subchapter provides background information and guidelines for field office (FO) processing of interface cases.

Interfaces generally involve a computer match of two sets of records or files to find individuals common to both. For most SSI interfaces, either the Supplemental Security Record (SSR) or the State Data Exchange (SDX) is one of the files. Interfaces are used to detect instances of unreported death, marriage, address or living arrangement changes (e.g., admission to or discharge from a title XIX facility) or unreported or incorrectly reported income or resources.

B. References

1. **SSR/MASTER BENEFICIARY RECORD INTERFACE** — SM 02001.020
2. **SSR/NUMIDENT INTERFACE** — SM 02001.401

02310.005 Interface Operations and Related Issues

A. Definitions

The following definitions apply to all interfaces. Definitions which pertain to a particular interface are explained in other sections of this subchapter.

1. **FILE** — A set of records (e.g., the SSR); a database.
2. **INTERFACE** — A comparison (usually automated) of two or more files to identify common individuals.
3. **INTERFACE ALERT** — A notice mailed or electronically generated or retrieved to advise an FO that an interface has detected a match which the FO must develop. See SI 02310.050 B.2 regarding Master Earnings File (MEF) interface alerts.
4. **MATCH** — A record or individual common to two or more files.
5. **RECORD** — A collection of related data. For purposes of SSI interfaces, the term generally refers to a collection of data pertaining to one claimant/recipient.

B. Operating Policy

1. **THIRD PARTY REPORTS**

   a. **General**

   Most information obtained through an interface is treated as a third party report (SI 02301.400 F.) and therefore must be verified with the recipient or a third party before it is acted upon.

   b. **Exception**

   Changes in the amount of an individual's title II, Veterans Administration (VA), Railroad Retirement Board (RRB), Office of Personnel Management (OPM), or Department of Defense (DOD) benefits (including initial awards) are first party reports. (See SI 02301.400 F.).

2. **NONPAY CASES**

   a. If a case is currently in a nonpay (including EØ1), suspense, or terminated status, **development of the interface data is not required.**

B. Operating Policy (Cont.)

2. NONPAY CASES (Cont.)

b. **FO's may, at their discretion, develop** interface data in nonpay cases where there is an indication that the nonpay status may be temporary, e.g., the recipient is ineligible due to excess income but is expected to be eligible again based on an estimate of future income.

3. DATA PERTAIN TO FORMER DEEMOR

a. If the interface data pertain to an **individual whose income and resources are deemed** to the recipient but who no longer lives in the same household with the recipient, attempt to **resolve the interface issue through the recipient** (or representative payee) first.

b. If this is unsuccessful, **contact** (or request the recipient to contact) **the former deemor** to obtain the necessary verification and/or authorization to contact the payer (if necessary).

c. If the former **deemor refuses to cooperate**, cease development and refer to SI 00501.510.

C. Related Procedure

1. ADMINISTRATIVE FINALITY

a. The **2-year rule for reopening** a prior determination applies to interfaces because interface data constitute new and material evidence (GN 04070.090).

b. The date of the interface run is the **date of affirmative action** (GN 04070.070 B.1.). This date will generally appear on the interface alert as the run date.

c. If the **date does not appear on the alert use the date on the** control list. The term "control list" does not include Central Office Redetermination Control (CORC) System Listings.

d. If the **run date does not appear on the alert, and there is no control list** use the date in the transaction field of the SSR even though this date may differ from the actual run date.
See SI 02310.050 D.1. regarding MEF interface alerts. See SM 01601.444 for a listing of transaction codes.

e. See GN 04070.070 C. regarding **"Diligent Pursuit."**

f. See GN 04070.130 regarding reopening based on **fraud** or **similar fault.**

2. FAILURE TO COOPERATE

a. Recipient Fails to Cooperate

If the **recipient does not respond** to an SSA-3074 (Supplemental Security Income (SSI) Posteligibility Notice of Appointment) or a request for information or **refuses to provide necessary information**, suspension of benefits for failure to comply with a request for information (PSC N20) may be appropriate (see SI 02301.260).

Before suspending a recipient's payments, **consider whether the recipient may have difficulty complying** with the request because he/she is homeless, institutionalized, mentally impaired, has language difficulties, or is physically incapable because of advanced age, poor health, or severe handicap.

If any of these situations are indicated by the AD, ID, DB, DISB (DI 26510.015 F.), or CG field on the SSR, any FO record, or employee knowledge, make a followup contact according to SI 02301.260 B.3.

If **good cause cannot be found** for extending the 30-day period, suspension for failure to comply with a request for information is appropriate. (See SM 01305.001 ff. for coding instructions.)

b. Representative Payee Fails to Cooperate

If a representative payee fails to cooperate, refer to SI 02301.260 D. and SI 02301.275 B. If development for a new representative payee is undertaken, develop the interface data with the new representative payee.

c. Third Party Contact Fails to Cooperate

Contact the third party by phone or personal contact.

C. Related Procedure (Cont.)

2. FAILURE TO COOPERATE (Cont.)

If this contact is unsuccessful, request the recipient to submit evidence to support his/her allegation. Make the request in writing per SI 02301.260 B.2.

Follow the instructions in SI 00501.510. See 2.a. before suspending payments for failure to comply with a request for information. If the recipient acts in good faith but is unable to provide evidence (and a third party does not cooperate), accept the recipient's allegation regarding the issue in question. Document the file as to efforts to obtain third party cooperation and the fact that the recipient's allegation is being accepted.

3. FRAUD REFERRALS

a. The criteria and **procedures for fraud referral** are contained in GN 04110.001 ff. and in regional instructions. Unless otherwise directed by regional instructions, forward cases for fraud investigation directly to the Office of the Inspector General, Office of Investigations.

b. **Before determining that information was willfully concealed,** consider the individual's (or representative payee's) comprehension of the reporting requirements and ability to comply with those requirements.

4. PENALTY PROVISION

If unreported income or resources which result in an excess payment of **Federal** funds are detected as a result of an interface, refer to SI 02301.500 ff. to determine if a penalty deduction is appropriate. A penalty deduction should be imposed whenever the four conditions noted in SI 02301.500 A. are met.

5. OVERPAYMENTS

a. If the overpayment was obtained through fraud, willful misrepresentation, or concealment of material information, **the 10-percent limitation** on recovering overpayments does not apply. See GN 02220.065 A.

b. Refer to GN 02260.060 for instructions related to the treatment of **overpayments attributable solely to excess resources** when the recipient's countable resources exceeded the limit by $50 or less per month.

6. GOLDBERG-KELLY

If development of an interface case results in the **reduction, suspension, or termination** of an individual's SSI payments, refer to SI 02301.601 ff. Goldberg-Kelly procedures apply even if the recipient confirms the interface data.

7. REDETERMINATIONS

a. See SI 02305.022 regarding the need for an **unscheduled redetermination.**

b. See SI 02310.040 C. for information about **redeterminations and the tax data interfaces.**

02310.010 Interviewing Techniques

A. Introduction

When processing interface cases, interviewers will sometimes notify individuals that SSA is aware of previously unreported or incorrectly reported income or resources. The sensitive nature of the interface data and the desire to prevent unnecessary government "intrusion" into individuals' privacy requires interviewers to achieve a delicate balance between satisfying the requirements for eligibility verification and protecting recipient rights. This balance must be the basis of the interview approach in both initial and subsequent interviews.

B. Operating Policy

Completely resolve the issues raised by the interface while maintaining a professional attitude.

Elicit the necessary information without being confrontational, accusatory, or intimidating.

C. References

1. SSI INTERVIEWS GN 00203.155.

2. SPECIFIC INTERVIEWING SITUATION GN 00203.100.

C. References (Cont.)

3. **TAX DATA INTERFACE INTERVIEWS** SI 02310.042.

4. **FRAUD INTERVIEWS** GN 04120.025.

D. Operating Procedure

1. **INTERVIEW PREPARATION**

a. General

Preparation **prior** to an interview is a key to the successful resolution of issues raised by an interface.

Consolidate any pending or closed files in the FO pertaining to the recipient.

b. Review Existing Files/Records

Review the interface data, the SSR, and any FO files or records pertaining to the individual before conducting the interview. Note any irregularities to determine the questions to be asked during the interview.

c. Review Program Operations Manual System (POMS) Instructions

Review the appropriate POMS section(s) pertaining to the particular interface(s) involved for guidelines on developing the interface data. This is especially important with tax data interfaces (i.e., Internal Revenue Service (IRS) and MEI [illegible]rfaces) because of the disclosure provisions.

2. **INTERVIEW GUIDELINES**

a. **Avoid using SSA jargon or acronyms.** Speak in terms that the individual can understand. If necessary, rephrase questions or explanations until the individual understands.

b. **Discuss the interface data first,** i.e., before discussing other factors of eligibility. By doing so, interviewers may prevent unnecessary development if the interface data result in ineligibility. Also, this method avoids any appearance of entrapment.

c. **Ask probing questions** rather than questions requiring only a "yes" or "no" response. Avoid negative or leading questions.

d. **Be observant**. Sometimes an individual's reaction to a question is as important as the response in determining if further questioning is warranted.

e. **Maintain control** over the interview. Attempt to resolve one issue before moving on to another. Often, an individual's response to a question on one issue may raise additional issues. Note those issues for later resolution.

Federal Agency Interfaces

02310.015 Savings Bond Interface

A. Introduction

The savings bond interface was developed to detect unreported ownership of savings bonds by SSI recipients and individuals from whom income/resources are deemed.

The savings bond interface detects **currently-owned** series E and EE bonds. The IRS interface (SI 02310.045 ff.) detects series E and EE bonds which were **surrendered** and currently-owned series H and HH bonds.

B. Description of Match

1. **SSA CREATES EXTRACT TAPE**

SSA creates a magnetic tape containing extracts of all SSI records in current payment and force payment status.

The tape, which contains data on eligible individuals and individuals from whom income/resources are deemed, is sent to Department of the Treasury, Bureau of the Public Debt (BPD).

2. **BPD MATCHES RECORDS**

BPD matches the information on the tape supplied by SSA against its savings bond registration file and returns data on matched records to SSA.

3. DATA RECEIVED FROM BDP

Data received from BPD include serial number, issue date, and redemption value for each bond registered under recipient's or deemor's SSN.

Only bonds issued after December 1973 contain social security numbers (SSN's). Therefore, the match does not include records of bonds issued earlier.

Owner's name/address are not included as they are not on BPD's computerized records. BPD maintains owner name and address information on microfilm.

4. TOLERANCE APPLIES TO BPD DATA

The total redemption value reported for all individuals on a record (eligibles and individuals from whom SSA deems income and resources) is screened against a tolerance without regard to the income or resources reflected on the SSR.

Alerts are generated for each match for which the total redemption amount for all individuals on the record (eligibles and ineligibles) equals or exceeds $1,350. (This tolerance does not relate to the liquid resource verification tolerance in SI 01120.010.)

NOTE: During the first year of the match (i.e., fiscal year 1989), the Office of Program and Integrity Reviews **(OPIR)** will conduct an evaluation to determine if the $1,350 tolerance needs adjustment.

C. References

1. TREATMENT OF SAVINGS BONDS AS A RESOURCE — SI 01120.001, SI 01120.005 A.3., and SI 01120.240.

2. POSTELIGIBILITY RESOURCE VERIFICATION REQUIREMENTS — SI 01110.055

3. OWNERSHIP OF UNKNOWN ASSETS — SI 01110.010B.

D. Policy Principle

According to BPD regulations, **bond registration** (not possession) **is proof of ownership.** Therefore, the individual(s) whose name(s) appears on the bond is/are the owner(s), regardless of the SSN shown on the bond.

E. Description of Alert

1. GENERAL

a. **An example** of the savings bond alert is in SI 02310.999 A.

b. First line of alert displays housed-under number **(HUN)** for the record and total redemption value of all bonds associated with the HUN. The first line also displays a code to indicate if a CG field entry of RE21 appears on the SSR. The code is "Y" for yes or blank for no.

2. BOND DATA

a. **Bond data are grouped together according to the SSN** on the bond.

The following labels appear on the alert to identify data from each bond:

- **Denom** - Shows the denomination of the bond according to the following chart:

Code	*Denomination*
01	$10
02	25
03	50
11	75
04	100
05	200
06	500
07	1,000
08	5,000
09	10,000
10	100,000

- **Serial No.** - The serial number of the bond.
- **Issue Dt.** - The issue date of the bond (year and month).

E. Description of Alert (Cont.)

2. BOND DATA (Cont.)

- **Value** - Redemption value of the bond as of the date shown in the Ret. Dt. field.
- **Ret. Dt.** - The date (year and month) of redemption value calculation.

b. Remaining fields are for BPD's use if a microfilm search is necessary.

F. Development and Documentation

1. INDIVIDUAL CONTACT

a. **Contact the individual** (i.e., recipient or deemor) according to the instructions in SI 02310.042 to confirm bond ownership.

b. If the **individual agrees** with the BPD data, determine whether the recipient's resources exceed the applicable limit. See 4. below.

c. If the **individual denies ownership** of the bond(s) and the value of the bond(s) is material to eligibility, question the individual further to determine if someone may have purchased the bond(s) as a gift using the individual's SSN.

d. If all attempts to resolve the issue of ownership fail, **contact BPD** per 2. below to determine if the individual's name appears on the bond.

2. BPD CONTACT

a. Make all contacts with BPD for savings bonds information via an SSA-562-U3 to the Parkersburg, WV, District Office.

b. A signed and dated **SSA-8510 and a copy of the savings bond interface alert** must accompany the SSA-562-U3.
See SI 02310.049 B.6.e. for instructions regarding information to include on the SSA-562-U3.

c. In **deeming situations,** obtain an SSA-8510 for all individuals on the record.

d. When a representative payee signs the SSA-8510, add the following statement:

SSA records confirm that the person who signed this form is a representative payee for the beneficiary, and as such, is permitted to authorize disclosure.

3. BPD RESPONSE

a. If the **individual's name appears on the microfilm record**, BPD will provide a copy of the record. Accept the BPD record as evidence of ownership.

b. If the **individual's name does not appear** on the record, BPD will indicate that it has no record of bond ownership for the individual. Discontinue development of the alert. See 5.c. below.

4. VERIFYING RETROACTIVE BOND VALUES

Determine retroactive monthly values by using the Table of Redemption Values for U.S. Savings Bonds (SI 01120.240 B.) or through the electronic stock verification process. (See SI 01120.225 C. and regional instructions).

5. CLEARANCE PROCEDURES

a. If the **individual is/was ineligible,** transmit payment status code NO4 for the appropriate months. (See SM 01305.040.)

b Regardless of whether the individual is/was ineligible, FO should **transmit a code RE21** followed by the current redemption value in the CG field. See SM 01301.785.

c If the development establishes that the individual does not own the bond(s), **maintain an FO record** to avoid redevelopment. Keep the record until you receive the following year's alerts. Advise the recipient/deemor of the outcome of the BPD contact.

02310.020 Federal Benefit Interfaces—General

A. Introduction

1. RECORDS MATCHED

The benefit records of the VA, RRB, OPM, and DOD are matched with the SSR.

A. Introduction (Cont.)

2. PURPOSE

The purposes of these interfaces are to:

A. Introduction (Cont.)

2. PURPOSE (Cont.)

- Verify and record the receipt and amount of benefits paid by the external agency;
- Establish the correct agency claim number;
- Annotate the SSR with the agency claim number to facilitate future interfaces; and
- Provide checks on other eligibility factors (e.g., marriage, residence) based on the data contained in the external agency's records.

3. SCHEDULE

The interfaces are normally scheduled as follows:

- VA - January, March, May, July, September, November
- RRB - January
- OPM - Monthly
- DOD - January

4. DATA COMPARISONS

In each interface, a comparison is made of the SSN, name, date of birth, and agency claim number on the SSR and the agency's payment records. The degree to which these data elements match determines the appropriate processing.

B. Operating Policy

Only changes in the recurring payment amount are posted to the SSR by the interface process. All other changes (e.g., indications of retroactive entitlement to benefits from the other agency) require verification.

C. Description of VA and RRB Interfaces

1. GENERAL

The chart below provides a general outline of the processing involved in the VA and RRB interfaces. For more specific information concerning the systems processing of these interfaces, see SM 02002.005 ff. (VA) and SM 02002.100 ff. (RRB).

IF:		*THEN:*
a.	The SSR reflects the receipt of VA/RRB benefits and the only change detected by the interface is a change in the VA/RRB payment amount.	The SSR is updated to reflect the new payment amount.
b.	The interface detects a change other than (or in addition to) a change in the VA/RRB payment amount (such as an indication on the VA/RRB record that retroactive development is needed).	The SSR is updated to reflect the new recurring payment amount (if one was detected) and an alert is generated to the servicing FO regarding the other issue(s) detected during the interface. See SI 02310.030 C. **EXCEPTION:** Cases which require retroactive development of RRB payments are directed to the Record Processing Section (RPS) before being sent to the servicing FO. See SI 02310.025 B.3.
c.	The system cannot determine if the SSR and VA/RRB records belong to the same individual.	An extract of the VA/RRB record is sent to RPS for processing. See SI 02310.025. These are referred to as exception cases.

2. AUGMENTED VA

The VA interface is unable to post the benefit amount in augmented benefit cases in which the SSI recipient is a VA auxiliary. The interface will post the countable portion of an augmented payment if the SSI recipient is the veteran or widow. See SI 00830.167 for instructions on augmented benefits.

C. Description of VA and RRB Interfaces (Cont.)

3. MACH 1988 VA BENEFIT NOT BASED ON NEED

The March 1988 VA benefit not based on need included a one-time retroactive amount for January and February 1988. The additional payment was not included in the payment data submitted by the VA for the March 1988 interface.

See SI 02310.030 D.4. for instructions on correcting the March 1988 VA benefit amount on the SSR.

D. Description of OPM Interface

The OPM interface process encompasses both front-end verification and posteligibility matching. SSA stores certain OPM payment data and updates the data monthly. When a record is established on the SSR, a finder is generated to the OPM data to determine if the applicant or deemor is receiving an OPM benefit. If an OPM payment is found, the SSR is updated. Each month OPM furnishes SSA with a magnetic tape containing accretions, deletions, and changes to the OPM records. The new OPM payment data are passed on to the SSR for monthly posteligibility updating.

Unlike the VA and RRB interfaces, the system determines if an SSR and OPM record pertain to the same individual. (That is, RPS does not receive OPM extracts for review.) However, the interface will continue to generate alerts which require FO attention. (See SM 02002.240.) See SM 02002.200 ff. for further explanation of the OPM interface systems process.

E. Description of DOD Interface

1. GENERAL

The DOD interface ensures accurate recording of military pension data on the SSR. While the DOD interface involves a computer match of the SSR and DOD's records, the interface operation differs from the VA, RRB, and OPM interfaces in that DOD benefit information is not electronically posted to the SSR as a result of the interface. For each case which matches (i.e., the SSN appears on both the SSR and the DOD records), an extract of the DOD data SI 02310.999 E.) is sent to RPS for screening as described in SI 02310.025 A. See SM 02002.351 for more specific information about the DOD interface oeration.

2. MILITARY PAYMENT INFORMATION

a. General

The DOD records reflect payments made by the Air Force, Army, Marine Corps, and Navy to military retirees and survivors.

Normally, military payment checks are received on the first day of the month after the month for which they are due.

Prior to December 1984, the DOD payments were issued on the last day of the month for which they were due. As a result of the change, no recurring DOD payments were issued during December 1984.

b. Categories of Beneficiaries

There are three categories of beneficiaries who may be entitled to military payments:

- **RETIREE** - A person with 20 years of service who meets the requirements for entitlement;
- **ANNUITANT** - A survivor who is designated by the retiree prior to retirement to receive benefits upon the death of the retiree under the Retired Serviceman's Family Protection Plan (**RSFPP**), Survivor's Benefit Plan (**SBP**), or both;
- **ALLOTTEE** - Anyone other than an annuitant of the RSFPP or SBP who is designated to receive money out of the service member's or retiree's check. Entitlement as an allottee terminates upon the death of the retiree. However, an allottee can become an annuitant when the retiree dies.

c. Type of Annuitant Programs

The two annuitant programs (RSFPP and SBP) pay money to surviving spouse(s) and children.

E. Description of DOD Interface (Cont.)

2. MILITARY PAYMENT INFORMATION (Cont.)

The SBP program, also provides for payment to "insurable interest" persons and minimum income level widows (MIW).

An "insurable interest" person is a nonspouse/ child individual that a service member designated to receive survivor benefits based on the monies which were withheld from his or her retirement payment under the provisions of the SBP annuitant program.

An **MIW** is certified by the VA as having an income level low enough to be paid in this category and is referred to the DOD. Since eligibility for the MIW program is based on need and the program is financed by a Federal agency, the $20 per month income exclusion is not applicable. (See SI 01305.205 for coding instructions.) In addition, any income which was taken into consideration in determining the amount of the MIW payment and which affected the individual's eligibility for the payment is excluded from an SSI deeming computation. See SI 00850.105 B.1.

02310.025 Record Processing Section (RPS) Processing

A. Decription of VA and DOD Interface Processing

1. RPS REVIEW

RPS (formerly the Posteligibility Operations Section) reviews certain interface cases to determine if the SSR and external agency records pertain to the same individual. This review consists of a comparison of the data on a Supplemental Security Income Record Display (**SSIRD**) and an extract of the external agency's payment record. The chart below outlines the RPS process.

NOTE: RPS is not the same as the Data Operations Center (**DOC**).

IF:		*THEN:*
a.	RPS determines that the SSR and the external agency's record pertain to the same individual.	RPS will post the proper external agency claim number to the SSR to facilitate future matches. The input consists of an unearned income field entry of a starting date equal to the month of the interface, a continuing frequency code, a money amount of zero, and the agency claim number. RPS will then refer the case to the servicing FO for development. See SI 02310.030. **NOTE:** In certain DOD cases, RPS will post the actual recurring military payment amount since the interface will not post these amounts electronically.
b.	RPS determines that the SSR and the external agency's record do not pertain to the same individual.	No further action is required.
c.	RPS cannot determine if the SSR and the external agency record pertain to the same individual	RPS will refer the case to the servicing FO for development. See SI 02310.030.

2. FO REFERRAL

a. General

For each case referred to the servicing FO, RPS will send the SSIRD, the extract of the external agency's record, and a legend explaining how to read the extract. (See SI 02310.999 for samples of the extracts and legends.) These documents will be sent under cover of a route slip which explains the reason for the referral. See SI 02310.030 for information on FO processing.

A. Decription of VA and DOD Interface Processing (Cont.)

2. FO REFERRAL (Cont.)

b. DOD Cases

RPS will forward the DOD interface cases under cover of form OF-41 which will indicate one of four possible reasons for referral:

- **Possible Match Case** (i.e., RPS cannot determine if the SSR and DOD records pertain to the same individuals);
- **Questionable Status** (i.e., there is an indication that the military payment may already be posted to the SSR as another type of income);
- **Current Development Required;** or
- **Retroactive Development Required.**

In retroactive development cases, RPS will initiate development by requesting military payment information via an SSA-562-U3 to the FO parallel to the appropriate Military Finance Center. The parallel FO will return the SSA-562-U3 to the servicing FO.

B. Description of RRB Interface Processing

1. GENERAL

As noted in SI 02310.020 C., the RRB interface generates two types of cases to RPS, i.e., exception cases and retroactive development cases.

2. EXCEPTION CASES

Exception cases are similar to those generated by the VA and DOD interfaces in which RPS must determine if the records pertain to the same individual. See A. above for information about RPS processing of these cases.

3. RETROACTIVE DEVELOPMENT CASES

a. RRB Payment History Comparison

RPS will compare the RRB payment data shown on the SSR and data shown on the RRB payment microfilm which RPS maintains.

If the **payment history matches,** no further action is taken.

If the **payment history does not match,** RPS completes an SSA-3570 (RRB/SSR Interface RRB Payment History) (SI 02310.999 D.) and forwards it to the servicing FO for development.

b. Completion of SSA-3570

RPS will show the recipient's name, SSN, RRB claim number, and RRB Beneficiary Identification Code on the SSA-3570.

In addition, RPS will transcribe the RRB payment history, as shown on the microfilm, beginning with the first month in which the individual received both SSI and an RRB benefit. (Since the microfilm begins with January 1975, that is the earliest month which will be shown.)

The SSA-3570 will contain an entry for each month in which the RRB payment changed.

See SI 02310.030 C. for information about FO processing of these cases.

See SI 02310.005 C.1 to determine the period open to correction.

02310.030 Field Office Processing

A. Introduction

1. TYPES OF CASES RECEIVED

FO's will receive two types of cases as a result of the Federal Benefit interfaces:

- **Systems - generated interface alerts;** and
- **Cases forwarded from RPS** for additional development.

2. RPS REFERRALS

a. **All cases referred to the FO by RPS will contain:**

- An SSIRD;
- An extract of the external agency's record; and

A. Introduction (Cont.)

2. RPS Referrals (Cont.)

- A legend which explains the fields and coding on the extract.

b. The **external agency extract** contains only the recurring payment amount. In addition, data on the extract which RPS sends and the SSIRD are only current as of the month of the interface.

B. Operating Procedure

1. REVIEW PRIOR INFORMATION

Obtain a current SSID in each case and locate **and review any pending or closed FO files/records** pertaining to the recipient to determine if the interface data were previously developed.

2. ISSUE NOT PREVIOUSLY DEVELOPED

a. Follow the instructions in C. to resolve the issue(s) raised by the interface if the SSR (e.g., CG field) or FO files/records do not indicate that the data were previously developed.

b. Refer to SI 02305.022 regarding the need for an **unscheduled redetermination.**

3. ISSUE PREVIOUSLY DEVELOPED

Do not develop further if the SSR or FO files/records indicate that the data were previously developed.

4. AGENCY EXTRACTS

See SI 02310.999 for **examples of the agency extracts** provided by RPS and the legends explaining the codes on the extracts.

5. CORRECT RPS INCOME POSTINGS

Correct any unearned income postings by RPS of zero payment amounts. See SM 01305.200 ff. for input instructions.

C. Development and Documentation — General

1. DEVELOPMENTAL TOLERANCES

a. Deemors who receive income based on need

Do not develop systems-generated alerts or RPS referrals if the alert or referral pertains to a deemor who receives income based on need unless the alert indicates that deeming should begin or end.

b. Augmentation alerts

- Each VA interface generates augmentation alerts (i.e., F5 alerts). However, there is rarely a change in the augmented amount except for a cost-of- living adjustment **(COLA)** in January.
- Therefore, only deve'op F5 alerts generated during the November interface each year.
- Contact the VA to verify the new amount of the augmented benefit (i.e., the amount following the COLA).
- The VA interface will be modified in July 1989 to suppress F5 alerts except in November.

2. EXCEPTION CASES

Contact the individual to ascertain if he or she actually receives (or received) a payment from the other agency.

3. RETROACTIVE DEVELOPMENT CASES

a. **Retroactive development alerts** (including RRB retroactive development cases referred by RPS) result from initial awards or reinstated benefits.

b. In these situations, **request the individual to submit evidence** showing the date and amount of any retroactive (i.e., lump sum) benefits and the beginning or reinstatement date of the recurring benefit.

c. **In reinstatement cases,** also determine the suspension period for the benefit.

C. Development and Documentation — General (Cont.)

4. RECIPIENT CONTACT

a. If the **individual alleges receiving a benefit** from the other agency (or received one in the past), request the individual to submit evidence showing the date and amount of any retroactive (i.e., lump sum) benefits and the date the recurring benefit began.

b. If the **individual disagrees with the recurring amount** shown on the SSR, he/she should also submit evidence of the recurring benefit amount as of the month of the interface.

c. **If the individual denies receiving a benefit** from the other agency or **has insufficient evidence**, obtain a signed SSA-8510 (Authorization to SSA to Obtain Personal Information) from the individual and contact the other agency to confirm the allegation.

5. REFERENCES

a. See SI 00830.021 for instructions on processing "**double counting**" alerts.

b. For an explanation of **other systems-generated alerts**, see:

- **VA** - SM 02002.050 ff;
- **RRB** - SM 02002.155 ff; and
- **OPM** - SM 02002.240

c. See SM 01301.920 for an alphabetical/numerical listing and cross reference table for all systems-generated alerts.

D. Development and Documentation - VA Interface

1. INCOME DEVELOPMENT

a. Refer to SI 00830.160 ff. for instructions on **developing the various kinds of VA payments** including augmented payments and aid and attendance and housebound allowances.

b. See SM 02002.045 for a list of **VA deductions chargeable as income** in SSI.

2. CONTACT WITH VA REGIONAL OFFICE

a. If the **individual's records are not sufficient** to verify the VA payment (including the date and amount of any retroactive payments and the date the recurring benefit began), contact the appropriate VA Regional Office (**VARO**).

b. **Do not contact a VA service center or local Veterans Affairs office** since these offices cannot furnish payment data.

c. See SI 00830.168 for **VARO addresses.**

d. Do not request identifying information (e.g., date of birth, address) from the VARO for **cases referred by RPS** as possible matches, since the VARO will only have the identifying data shown on the VA extract.

e. Contact with VARO may still be necessary on possible match cases for payment amounts (e.g., retroactive or augmented payments).

f. See SI 02310.999 B. for a **sample of the VA record extract** and legend provided by RPS.

3. CHANGE IN PAYMENT TYPE

VA payments based on need and payments not based on need can be paid under the same VA claim number (although not simultaneously). Consider the possibility of a change in the type of payment as the type of payment determines whether or not the $20 per month unearned income exclusion is applied.

4. MARCH 1988 PAYMENTS

a. **The VA interface did not properly post the March 1988 payment** amount for VA benefits not based on need. See SI 02310.020 C.3.

b. If the SSR does not reflect a one-time frequency for a March 1988 type C unearned income entry, contact the individual to **obtain evidence of the actual**

D. Development and Documentation - VA Interface (Cont.)

4. MARCH 1988 PAYMENTS (Cont.)

March 1988 payment amount when the amount is potentially relevant. If the individual does not have sufficient evidence, contact the VARO per 2., above.

E. Development and Documentation — RRB Interface

1. INCOME DEVELOPMENT

Refer to SI 00830.180 for instructions on developing RRB payments.

2. RETROACTIVE PAYMENT DATA

As noted in SI 00830.180 B.2., obtain retroactive RRB payment data from SSA Central Office. In certain cases, RPS provides these data on form SSA-3570 (SI 02310.999 D.) when referring a case for retroactive development (see SI 02310.025 B.). Therefore, if you receive an SSA-3570 from RPS, do not send the administrative message shown in SI 00830.180 B.2.

3. RRB Extract

See SI 02310.999 C. for a sample and explanation ofthe RRB record extract and legend RPS provides.

F. Development and Documentation—OPM Interface

1. INCOME DEVELOPMENT

Refer to SI 00830.140 for instructions on developing OPM payments.

2. CORRECT SSR CODING

If the OPM payment is incorrectly coded on the SSR (i.e., coded as type P unearned income rather than as type M), correct the coding. See SM 01305.205 and SM 01305.295.

G. Development and Documentation — DOD Interface

1. GENERAL

a. See SI 02310.999 E. for a **sample of the DOD extract and legend provided by RPS.**

b. The **development needed in a particular case will depend on the reason for referral** by RPS. See SI 02310.025 A.2.b.

2. POSSIBLE MATCH AND CURRENT DEVELOPMENT CASES

a. **Contact the recipient** to determine if the DOD data pertain to the recipient.

b. If the **recipient denies receipt of the payment or disagrees with the amount** and does not have sufficient evidence to verify the payment, contact the appropriate Military Finance Center via an SSA-562-U3 to the parallel FO as noted in 5. below.

3. RETROACTIVE DEVELOPMENT CASES

See SI 02310.025 A.2.b. regarding retroactive development cases.

4. QUESTIONABLE STATUS CASES

Follow the instruction in G.2. to determine if DOD data pertain to the recipient. The DOD payments may be posted to the SSR as another type of income (e.g., pension or "other"). These postings must be corrected. See SM 01305.200 ff. for coding instructions.

5. CONTACT WITH MILITARY FINANCE CENTERS

a. If information must be requested from a Military Finance Center, send the request via an **SSA-562-U3** to the parallel FO.

b. **Include the following information** on the SSA-562-U3:

- The service member's given name, middle initial and surname;
- The service member's service identification number (if available);
- The service member's SSN;
- The annuitant's or allottee's name; and
- The annuitant's or allottee's SSN.

c. **Specify the period** for which payment information is needed and identify the pay plan (e.g., RSFPP, SBP).

d. **Attach a copy of the DOD** extract (SI 02310.999 E.) to the SSA-562-U3.

e. The following is a listing of the **mailing address** for each Military Finance Center.

Military Service Branch	*Military Finance Center Mailing Addresses*
ARMY	USAFAC Director, Retired Operations Indianapolis, IN 46249 ATTN: Management Support Office Parallel FO: 455
NAVY	Retired Pay Department

G. Development and Documentation — DOD Interface (Cont.)

5. CONTACT WITH MILITARY FINANCE CENTERS (Cont.)

Military Service Branch	*Military Finance Center Mailing Addresses*
	Code 305 Navy Finance Center Anthony J. Celebrezze Building Cleveland, OH 44199 Parallel FO: 388
AIR FORCE	AFAFC/XSP Denver, CO 80279 Parallel FO: D24
MARINE CORPS	Marine Corps Finance Center 1500 E. 95th Street Kansas City, MO 64197 Parallel FO: 736

Tax Data Interfaces

02310.040 Tax Data Interfaces—General

A. Introduction

Section 6103(l)(7) of the Internal Revenue Code (IRC) authorizes SSA to use certain tax data in the administration of the SSI program. SSA accesses these data through interfaces with the IRS and SSA's MEF. Since each of these interfaces involve tax data, some common provisions apply to the use of the data. The following subsections address issues common to the two tax data interfaces. Specific instructions for each interface are contained in SI 02310.045-.049 (IRS) and SI 02310.050-.055 (MEF).

B. Definitions

1. AUTHORIZED INDIVIDUAL — An individual to whom tax data may be revealed. See SI 02310.041 A.2.

2. FINANCIAL INSTITUTION — See GN 03301.005 F.

3. INDEPENDENT VERIFICATION — To establish the accuracy of tax data by obtaining evidence from the recipient, taxpayer, or payer. See SI 02310.046 A. for specific verification requirements for IRS interface data.

4. PAYEE — The individual or organization whose name and address appears on the payer's records; usually the taxpayer but may be a third party who set up an account using the taxpayer's SSN.

5. PAYER — The individual or organization which submitted the form 1099, W-2P, W-2, or other report to IRS or SSA.

6. SSA DATA — Information contained in SSA's files prior to an interface and information obtained during the FO's independent verification. This information is subject to normal SSA procedures regarding disclosure (see GN 03301.001 ff.) even though it may be identical to the tax data shown on the alert.

7. TAX DATA — See SI 02310.041 A.

B. Definitions (Cont.)

8. TAXPAYER The individual under whose SSN the transaction was reported.

9. TAX YEAR The calendar year to which the tax data pertain.

10. TRANSACTION For purposes of the tax data interfaces, a transaction refers to the financial activity (e.g., interest or pension payment) reported to IRS or SSA on forms 1099, W-2P, W-2, or similar form.

11. UNAUTHORIZED IDSCLOSURE Making tax data known to **anyone** other than the taxpayer to whom the information pertains or an authorized individual (as defined in 1. above).

C. Operating Policy

1. COORDINATION WITH REDETERMINATION

a. Current Year Redeterminations

Develop tax data interface **alerts in conjuntion with scheduled FO redeterminations or other pending posteligibility activity (e.g., continuing disability review, representative payee accounting).**

Give the interface data and related redeterminations **priority attention** over other redeterminations because of the potential overpayments involved.

Since the development of the IRS information may result in a determination of ineligibility, **discuss the IRS data** with the individual **first (i.e., before other eligibility factors).**

If the development of the **IRS data results in ineligibility** for the entire redetermination period, **the redetermination** development **may be curtailed** according to SI 02305.034 B.5.

b. Prior Year Pending Redeterminations

Prior year pending redeterminations are not reselected for redetermination even if there is a tax data alert. The development of the prior year redetermination and the alert should be coordinated if possible (i.e., if the pending redetermination is in the FO rather than the DOC).

2. LIMITED ISSUE CASES For cases in which there is not a scheduled FO redetermination, process the alerts, but **do not routinely conduct an unscheduled redetermination.**

D. Description of Central Office Redetermination Control System

A scheduled redetermination or a limited issue case (SI 02305.016) with a tax data alert can be identified and will be controlled by the CORC system (SM 01501.501 ff.).

A unique CORC code will identify the redeterminations and limited issue cases with each type of tax data alert (i.e., IRS, MEF pension data, and MEF earned income data).

A separate code will identify high error profile **(HEP)** redeterminations for which an informational IRS alert exists (see SI 02305.018 D.).

These codes can be used as parameters for requesting redetermination data.

02310.041 Disclosure of Tax Data

Citations

Section 6103 of the IRC
Section 1106 of the Social Security Act

A. Policy Principles

1. TAX DATA

a. **Tax data include** the following information shown in the IRS and MEF interface alerts:

- The taxpayer's name;
- The taxpayer's address;
- The taxpayer's identification number (i.e., SSN);
- The nature of the transaction (e.g., interest, dividends, pension);
- The source of the transaction (i.e., the name of the payer); and

A. Policy Principles (Cont.)

1. TAX DATA (Cont.)

- The amount of the transaction.

b. Even after the data are developed, **the alert remains tax data.**

2. DISCLOSURE TO AUTHORIZED INDIVIDUALS

Tax data may be disclosed only to an authorized individual. The following are authorized individuals:

a. **The taxpayer** for whom the form 1099, W-2, W-2P, or similar report was submitted. (See SI 02310.040 B.8. for the definition of "TAXPAYER".); or

b. **A person having material interest** in the individual's taxes. According to IRS, this means the legal guardian of the taxpayer for whom the form 1099, W-2, W-2P, or similar report was submitted or someone liable for the individual's taxes. IRS assumes that a natural or adoptive parent with custody of a minor child has a material interest in the child's taxes.

The term **"legal guardian"** includes court-appointed guardians of incompetent individuals and statutory guardians. The latter situation is governed by State law and may include parents with custody or other guardians of minor children or guardians of institutionalized adults. (Refer to regional instructions for information on State guardianship laws); or

c. An **individual** or organization **specifically** authorized in writing by the taxpayer to receive the information. See C. regarding written authorizations; or

d. In the case of a deceased taxpayer, the **executor, administrator, or trustee of the taxpayer's estate**; or

e. The **payer** (as defined in SI 02310.040 B.5).

B. References

1. DISCLOSURE OF TAX DATA

GN 03301.005 D.

2. PENALTIES FOR UNAUTHORIZED DISCLOSURE

GN 03301.035 E.

C. Operating Policy

1. DISCLOSURE TO DESIGNEE

Disclose tax data to a designee of the taxpayer only if the taxpayer specifically authorizes the disclosure.

2. FORM SSA-2495

The authorization (form SSA-2495-SI 02310.999 F.) must be signed and dated by the taxpayer (or legal guardian if the individual is legally incompetent) and received by SSA within 60 days of the date it is signed.

D. Procedure

1. COMPLETION OF SSA-2495

Complete the top of the form by filling in the claimant/recipient's name and SSN and the name and relationship of the person making the statement (i.e, the taxpayer).

Complete the remainder of the form as follows:

> I, (fill in taxpayer's name), of (fill in taxpayer's address), whose taxpayer identification number is (fill in taxpayer's social security number shown on alert), authorize the Social Security Administration to disclose information in its possession from: (check the appropriate type(s) of data as specified below) for the year (fill in the tax year to which the data pertain) to (fill in name of individual or organization to whom the taxpayer is authorizing disclosure).

2. SPECIFY THE DATA TO BE DISCLOSED

Identify the specific data which may be disclosed by checking the appropriate statement(s) on the SSA-2495. (More than one may be used if multiple tax data interfaces are involved.)

- For IRS interface cases, check, "The Internal Revenue Services' Information Returns Processing File,"

D. Procedure (Cont.)

2. SPECIFY THE DATA TO BE DISCLOSED (Cont.)

- For MEF interface pension income cases, check, "Forms W-2P," and
- For MEF interface earned income cases, check, "Reports of wages and net earnings from self-employment."

3. DOCUMENTATION Keep the original SSA-2495 in file.

02310.042 Tax Data Interface Interview Guidelines

A. Operating Policy

1. PERSONAL CONTACT Personal contacts are generally more effective than mail contacts to develop the alerts. Therefore, a personal (i.e., face-to-face or telephone) contact is required in each tax data interface case except in extraordinary circumstances.

2. EXCEPTION Unless required by some other section, a personal contact is **not required if:**

- **The SSR or FO files/records clearly show that the alert data were previously developed.** (See SI 02310.046 D.); or
- The recipient has a representative payee which **is a governmental agency or institution and** the head of the agency/institution is an authorized individual (as defined in SI 02301.260 B.).

B. Documentation

If a personal contact is not made, **the file must reflect the previous development or contain an RC** stating why a personal contact was not made.

C. Operating Procedure

1. TELEPHONE CONTACT

a. **Call the taxpayer** to resolve the issue raised by the alert.

b. If evidence or signed authorization forms (e.g., SSA-4641) are needed, **send a written request** as described in SI 02301.260 B.

2. FACE-TO-FACE CONTACT

a. Use **Form SSA-3074**(Supplemental Security Income (SSI) Posteligibility Notice of Appointment) to request the individual to come into the FO. See SI 02305.020 and SI 02305.801.

b. The **language added to the SSA-3074** will be determined by the circumstances of the case according to 3. below.

3. SSA-3074

a. Recipient is the Taxpayer/Authorized Individual - No Representative Payee

- Use the "OTHER" section on the SSA-3074 to request the evidence needed to verify tax information; e.g, "any records you may have concerning account number 1234 at the XYZ bank.")
- If the **recipient is institutionalized or may be unable to come in to the office** (as indicated by SSR coding, FO records, or employee knowledge), enclose a completed SSA-2495 and add the following paragraph to SSA-3074:

  If you cannot come in to the office, you may have someone come in on your behalf by completing the enclosed form. Fill in the name of the person who will come in for you, sign the form, and have the person bring it when he or she comes into the office.

b. Recipient is Not the Taxpayer/Authorized Individual - No Representative Payee

Add the following to the "OTHER" section on the SSA-3074:

When you come, please bring (fill in "your spouse" or name of the taxpayer) with you. If this is not possible, please have (fill in "your spouse" or name of taxpayer) sign the enclosed authorization.

You should also bring: (Fill in the **type of** evidence needed to verify the tax data, e.g., "records of any bank accounts which you or your spouse own."

C. Operating Procedure (Cont.)

3. SSA-3074 (Cont.)

Do not disclose any confidential data such as the payer's name.

Enclose a completed SSA-2495 (SI 02310.041 D.) As an alternative, the SSA-3074 may be sent directly to the taxpayer (as defined in SI 02310.040 B). If this is done, substitute the recipient's name for "your" on the SSA-3074.

c. Recipient Has a Representative Payee Who Is the Taxpayer or an Authorized Individual

Use the SSA-3074 as described in a. above to request the representative payee to come in to discuss the tax data. Modify the SSA-3074 by substituting the recipient's name or "your."

d. Recipient Has a Representative Payee Who Is Not the Taxpayer or an Authorized Individual

Use the language in b. above to request the payee to come in to discuss the tax data. Substitute the recipient's name for "your" on the SSA-3074.

Enclose a completed SSA-2495 (SI 02310.041 D.).

As an alternative, send an SSA-3074 as described in a. directly to the taxpayer. If this method is used and the recipient is not the taxpayer, substitute the recipient's name for "your" on the SSA-3074.

4. INTERVIEW APPROACH

a. General

Unless the tax data detected during an interface were previously developed and found not to belong to the recipient or deemer or were determined to be excluded, the recipient must be questioned about the accuracy of the information. The general guidelines are:

- Because of the confidential nature of the tax data, tailor the interview to fit the circumstances of the particular case;
- Read the instructions in SI 02310.010 before conducting the interview;
- If the recipient (or representative payee) is an authorized individual as defined in SI 02310.040 B.1, follow the instructions in b. below. However, if the recipient (or representative payee) is not an authorized individual, follow the instructions in c. below; and
- An individual may be authorized to receive information pertaining to one transaction noted on the alert but not another. Because of this, each transaction must be dealt with individually according to b. or c. below, as appropriate.

b. Recipient/Representative Payee Is an Authorized Individual

No special procedures are necessary; i.e., discuss the tax data freely with the recipient/representative payee. Refer to SI 02310.048 for developmental procedures.

c. A Person Who Is Not an Authorized Individual Is Present at the Interview

Advise the recipient/representative payee that you cannot discuss the tax data in the other person's presence without the specific written authorization noted in SI 02310.041 C. and D. Obtain specific written authorization from the taxpayer before proceeding in the presence of the unauthorized individual.

d. Recipient/Representative Payee Is Not an Authorized Individual

The tax data should not be revealed. If the taxpayer is present, obtain the taxpayer's authorization (SI 02310.041 C. and D.) before discussing the information with the recipient and then proceed according to b. above.

If the taxpayer is not present or refuses to sign the authorization, take the following steps to avoid an unauthorized disclosure:

- Advise the recipient (or representative payee) that you have received information which may affect the recipient's SSI benefits;
- Ask the recipient if he/she brought the evidence requested in the come-in letter;
- If the recipient submits evidence, discuss the evidence (which is SSA data as noted in SI 02310.040 B.6.) with the recipient and proceed according to SI 02310.048; and

C. Operating Procedure (Cont.)

TERVIEW AP-ROACH (Cont.)

- If the recipient did not bring the requested evidence, ask why he/she did not. Follow the appropriate procedure in SI 02310.048 B. based on the recipient's reponse.

02310.045 Internal Revenue Service (IRS) Interface

A. Introduction

The Deficit Reduction Act of 1984 (Public Law 98-369) provides that IRS will furnish certain nonwage information to SSA upon request for the purpose of administering the SSI program.

SSA has developed a matching operation with IRS using IRS information for the prior calendar year. The primary purpose of the operation is to identify individuals who may be ineligible for SSI payments due to excess income or resources.

B. Description of Match

1. SSA CREATES EXTRACT TAPE

SSA creates a magnetic tape containing extracts of all SSI records in current payment and force payment status. The tape, which contains data on eligible individuals and individuals from whom income and/or resources are deemed, is sent to IRS.

NOTE: Currently the match is conducted on an annual basis. Beginning in FY 1989 a quarterly match will be implemented for individuals who began receiving benefits since the most recent match (i.e., newly awarded and reinstated recipients).

2. IRS TAPE PROCEDURES

IRS matches this information against its nonwage information files and returns the matches to SSA.

The IRS files are derived from form 1099 and similar reports of financial transactions submitted for the previous year by financial institutions, brokerage firms, government agencies, employers, etc.

3. IRS DATA CATEGORIZED

After the matches are returned to SSA, they are categorized as follows:

- Transactions which generally require only resource development or income and resource development are categorized as resource indicators; and
- Transactions generally requiring only income development are categorized as income indicators.

An explanation of each of the types of transactions is found in SI 02310.048 C.3.

4. TOLERANCE APPLIED

a. The total transaction amount reported in each category for all individuals on a record (eligibles individuals and individuls from whom income and/or resources deemed) is then screened against the following tolerances without regard to the income or resources reflected on the SSR:

- $41 for resource indicators.
- $101 for income indicators.

b. These tolerances are based on the OPIR's evaluation of the interface and were selected to focus FO resources on cases with high potential for overpayment.

OPIR studies have shown that development of transactions at these levels often result in ineligibility or reduced payment for one or more months.

c. These tolerances are used for all records, including those involving an ineligible spouse or parent(s).

d. See SM 02002.400 ff. for an explanation of the systems processing of the IRS interface.

5. CONTROLLED ALERTS

a. Criteria for Alerts

Alerts are generated for each match for which the total dollar amount shown in the IRS file in in either (or both) categories for all individuals on the record (eligibles and ineligibles) equals or exceeds the appropriate tolerance.

A diary code of 5B is also posted to the SSR.

B. Description of Match (Cont.)

5. CONTROLLED ALERTS (Cont.)

b. Alerts Mailed

The alerts are mailed to the servicing FO shown on the SSR at the time the case was selected for matching. FO's are notified by the NEWS of the anticipated delivery date of the alerts.

c. Description of Alerts

The format and content of the IRS alerts are explained in SM 02002.430.

d. Multiple Transactions from Same Tax Form

All transactions from the same tax form (e.g., 1099) are grouped together. The presence of multiple transactions from the same form does not necessarily mean that the taxpayer received multiple payments. For tax purposes, the payer may be required to report all or part of a payment in more than one block of the form.

e. Optional Data on Tax Form

IRS does not require payers to report internal payee account numbers on the tax forms so some transactions may not contain these data.

Some payers use zeros in lieu of hyphens in the payee account number.

6. INFORMATIONAL ALERTS

a. A **separate group of alerts are generated for scheduled HEP redeterminations** for which resource indicators below the $41 tolerance were detected. These alerts are informational only and are intended to serve as leads for the interviewer.

b. **The alerts are mailed a few weeks after the controlled alerts** and show "INFORMATIONAL" on top of the alert. Redeterminations which contain an informational alert can be identified by an action code of R on the Central Office Redetermination system (SM 01501.501 ff.).

c. See SI 02310.046 D.3.c. regarding destruction of the informational alerts.

d. See SI 02310.041 for disclosure provisions.

C. Procedure

1. COMPARE ALERTS AND CONTROL LIST

Upon receipt, **compare the alerts and the control lists** to ensure that all the alerts were received.

2. OBTAIN COPIES OF MISSING FY 1989 ALERTS

If any alerts for fiscal year (FY) 1989 are missing, proceed as follows:

a. **If the recipient moved** between the time the case was selected for matching and the time the alert was released, contact the prior servicing FO to obtain the alert.

b. If the recipient has not moved or the prior FO cannot locate the alerts, FO's may obtain a copy of a missing alert by sending an administrative message to the Security Record Center in Boyers, Pennsylvania as described in 3. below. **Do not use the administrative message for prior year alerts.**

3. ADMINISTRATIVE MESSAGE

a. **General instructions** for administrative messages are contained in TE 00204.002 B. for Paradyne terminals and section 225-E-3 of the Data Communications Utility (DCU) manual for DCU terminals.

b. **The routing indicator should read:**
RUSAXBU
ATTN: Ford Branhard.

c. **The "subject" line should read:**
IRS Alerts

d. **The "from" line** should contain the requesting FO's complete mailing address, including internal unit designation.

e. **The text of the message** should read:
Please send IRS alerts on the following HUN's:
(List HUN for each alert needed)

C. Procedure (Cont.)

4. OBTAIN COPIES OF FY 1988 ALERTS

Contact your regional office to obtain copies of FY 1988 alerts.

02310.046 IRS Alert Development—General

A. Policy Principle

Independently verify IRS data before using it to reduce, suspend, or terminate benefits.

Specifically, verify the income/resource amount/value, the individual's receipt of and access to the income or the individual's ownership of and access to the resource, and the period(s) in which the individual received the income or had the resource.

B. Operating Policy

1. RESTRICTION ON THE USE OF UNVERIFIED DATA

a. Although SI 02301.260 A. indicates that information in SSA's records may be used as the basis for a determination of ineligibility, the **Deficit Reduction Act prohibits the use of unverified IRS data alone for an ineligibility or payment reduction determination.**

b. If the data have not been verified, **the only basis for suspension of benefits is the individual's failure to comply with a request for information** (PSC N20).

c. **Do not transmit income or resource information** to the SSR **unless that information has been verified** by the individual or the payer.

d. The fact that an individual makes no attempt to refute or explain the IRS information does not constitute verification.

2. VERIFICATION WITH FINANCIAL INSTITUTIONS

Do not contact a financial institution unless the individual has signed an SSA-4641-U2.

3. DISCUSSIONS WITH RECIPIENT

a. Although the majority of the SSN's on the IRS records will be verified, situations may occur in which the report does not pertain to the recipient or individual from whom income and/or resources are deemed.

b. In other situations the **transaction reported may not constitute income or resources** for SSI purposes or may be excludable (e.g., burial funds).

c. Therefore, **exercise discretion** when discussing the IRS information with recipients or representative payees.

d. **Afford the recipient** (or individual from whom income and/or resources are deemed) **an opportunity to refute** or explain the IRS information.

4. DEVELOPMENTAL TOLERANCES

The **liquid resource development tolerance** in SI 01120.010 **does not apply to IRS alert transactions** unless the transaction was previously developed. See section D.1.c. and SI 02310.047.

Otherwise, normal verification requirements/tolerances may be applied. For example, if an IRS alert reflects an interest payment, the underlying bank account must be developed (except as noted in section D. below) but verification of the interest income may not be required (SI 00830.260). See SI 02310.045 B.1.c.

See SI 02310.005 B.2 regarding cases in nonpay status.

5. CURTAILING DEVELOPMENT ON CERTAIN TRANSACTIONS

a. An alert containing income indicators (e.g., unemployment compensation) may also reflect one or more resource indicators. Development of the resource indicator(s) is not required if the total amount of **all resource indicators on the alert** is less than **$41.**

b. Similarly, if FO development verifies that **one or more resource indicators do not belong to the recipient/deemor** or represent an excluded resource, development of remaining resource indicators is not required if the total amount of those indicators is less than **$41.**

c. In either of these situations, **process the resource indicators** in the same way as the informational alerts described in SI 02310.045 B.6.

B. Operating Policy (Cont.)

5. CURTAILING DEVELOPMENT ON CERTAIN TRANSACTIONS (Cont.)

d. **Development of income transactions** is not required if the total of all income transactions on the alert is less than $101. Treat these transactions as informational (SI 02310.045 B.6.).

6. DEVELOPMENT UNCOVERS INCOME OR RESOURCES NOT SHOWN ON ALERT

In some instances, a 1099 report is required only if the payment exceeds a certain amount. Therefore, it is possible that the development of a particular case may uncover income or resources which are not shown on the alert. Develop these items in accordance with appropriate POMS sections.

C. Related Policy — Period of Review

The period for which evidence is required will depend on the specifics of the case.

See SI 01120.210 C.3.c. for checking and savings accounts. See 02305.034 for cases involving redeterminations. See SI 01110.055 C. for nonliquid resources.

D. Operating Procedure — Controlled Alerts

1. PREREVIEW FO FILES/RECORDS

a. **Prereview** the SSR and any pending or closed files or records pertaining to the recipient in the FO (particularly, data pertaining to the prior year's IRS interface) to determine if the IRS data were previously developed.

NOTE: The alert will contain a special code when an alert was generated on the HUN in the previous year. See SM 02002.430.

b. **Compare the data** on the SSR (as described in 2. below) or in the FO files/records and the data on the current IRS alert.

c. If any of the transactions on the alert were **previously developed,** follow the guidelines in SI 02310.047 below for those transactions.

d. If **previous development** of the IRS information is **not clearly reflected** on the SSR or in FO files/records, contact the recipient/representative payee (or nonrecipient taxpayer, if appropriate) according to the instructions in SI 02310.048 to discuss the information shown on the alert.

2. USE OF SSR CODING TO ESTABLISH PRIOR DEVELOPMENT

a. **Examples of SSR coding which indicate prior development of a transaction include:**

- Direct deposit to the same bank account shown on the IRS alert;
- An interest posting showing the name of the bank or account number which corresponds to the data shown on the alert; and
- Case characteristic (CG) codes (such as REO1 or RE40) which indicate ownership of the resource(s) reflected on the alert.

b. **Exercise judgment** in determining whether SSR coding indicates that a particular transaction was previously developed.

c. **The SSR coding must be consistent** with the data on the alert.

3. CLEARANCE PROCEDURES

a. Systems Input

When the development is completed, input any data necessary to reflect the results of the development on the SSR.

Refer to SM 01301.950 for coding instructions for the IRS indicator **(MS)** field.

Refer to SM 01301.785 to determine if CG field codes are applicable.

See SM 01305.101 ff. and SM 01305.200 ff. for coding instructions for the earned **(EN)** and unearned income **(UM)** fields and SM 01305.400 for coding instructions for the resource **(RE)** field.

FO's may wish to input information such as a bank name or account number in the CG or UM field to avoid redevelopment of future alerts.

b. Disposition Record

FO's should retain a record of the disposition of alert information until the following year's alerts are completed in order to avoid redevelopment of the IRS data.

D. Operating Procedure — Controlled Alerts (Cont.)

3. CLEARANCE PROCEDURES (Cont.)

For example, FO's may keep the case folder or indicate the disposition on the alert, an SSA-250 or similar form, or on the FO's personal computer.

The record should show the payer data and the results of the development of each transaction.

If the disposition is recorded on the alert, access to the records must be restricted to only those employees who need the data for case processing.

c. Alert Destruction

Do not leave the alert in the case file.

In compliance with IRS requirements, **destroy the alert** after the IRS data are independently verified unless the alert is used to record the disposition of the case.

If the alert is used to record the disposition of the case, it should be destroyed after development is completed on the following year's alerts.

E. Operating Procedures — Informational Alerts

Compare the alert information to the SSR and to the recipient's allegation on the redetermination form. The claims representative will determine whether a material discrepancy exists which needs to be developed (unless otherwise directed by regional or local mangement). Documentation of the reason development is **not** undertaken is not required. See SI 02305.018 D. No SSR diary is established for these alerts and no systems input is required to "clear" the alert.

02310.047 IRS Alert Development—Limited Development

A. Introduction

If the SSR, FO files, and/or FO records clearly indicate that an IRS transaction was previously developed, develop the transaction according to the instructions in this section.

B. Definitions

1. FO FILE

Part of the recipient's claim file, including posteligibility material.

2. FO RECORD

A document which is not part of the recipient's claim file (e.g, an SSA-250, annotated IRS alert, or a record on the FO's personal computer).

C. Operating Policy

1. TRANSACTION EXCLUDED OR DOES NOT BELONG TO THE INDIVIDUAL

If the SSR or the FO's files/records clearly indicate that a particular transaction on the IRS alert has been developed previously and the transaction does not belong to the recipient (or deemor) or represents excluded income or resource, no further development (i.e., recipient contact) is necessary.

2. INCOME TRANSACTIONS

Development of income transactions is not necessary if the income is already verified and properly posted to the SSR.

3. LIQUID RESOURCE TRANSACTIONS

Where the SSR or FO records/files indicate previous development of a particular liquid resource transaction, development of that transaction is not necessary if:

- The recipient was determined to be prospectively eligible for SSI at the time the determination of the resource value was made; **and**
- The information on the alert is not discrepant with data on the SSR or in the FO files/records; **and**
- The recipient alleges that the current total value of his/her nonexcluded liquid resources does not exceed the development tolerance show in SI 01120.010. (The allegation may be obtained by direct mail or personal contact on an SSA-8202, or by personal contact and recorded on an SSA-8203-BK, SSA-795 or an RC.)

4. NONLIQUID RESOURCE TRANSACTIONS

If the transaction pertains to a nonliquid resource (e.g, a life insurance policy), develop the value according to SI 01110.055 C.

D. Documentation

1. GENERAL

If development is limited or curtailed, document the file to explain the basis for the decision. The type of documentation required will depend on the basis for establishing prior development.

2. FO FILE USED TO ESTABLISH PRIOR DEVELOPMENT

Consolidate the current and prior FO files.

3. FO RECORD(S) USED TO ESTABLISH PRIOR DEVELOPMENT

a. **Document the file** with a copy of the FO record or an RC which states that the FO record indicates previous development of the transaction.

b. **The RC does not have to provide specific information about the type of record** or the prior development (since that development is contained elsewhere in the recipient's case file).

Unless the situation in SI 02310.046B3.b applies

NOTE: If the FO record is an annotated IRS alert, do not place a copy of the alert in file. Document the file with an RC stating that the FO record indicates prior development.

4. SSR CODING USED TO ESTABLISH PRIOR DEVELOPMENT

Document the file with an RC containing the rationale for the determination.

02310.048 IRS Alert Development—Full Development

A. Introduction

If the SSR, FO files, and/or FO records do not clearly indicate that an IRS transaction was previously developed, follow the instructions in this section.

NOTE: These instructions also pertain to MEF alerts which require development.

B. Operating Procedure

Contact the recipient or taxpayer according to SI 02310.042 and proceed as follows:

IF:	*THEN:*
1. The income/resource(s) does not belong to the individual or is excluded:	a. No develoment is necessary. b. Refer to the appropriate POMS instructions shown in the chart in C.3. below to determine if documentation is required.
2. The transaction is an income indicator and the individual alleges that the income stopped prior to eligiblity.	Document the file to reflect the individual's allegation. No develoment is necessary
3. The transaction involves a resource and the individual alleges he/she no longer owns the resource.	Develop for transfer for resources according to SI 01110.070-SI 01110.081 and SI A01110.081 as appropriate.
4. The individual agrees with the IRS data and his/her allegation of ownership and the value of the income/resource makes the recipient continuously ineligible since either his/her date of initial eligibility or beginning with the initial month open to correction. (SI 02310.005 C.1.)	Document the file to reflect the individual's allegation and take action to reduce or suspend payment according to SI 02301.200 ff.

B. Operating Procedure (Cont.)

IF:	*THEN:*
5. The recipient agrees with the IRS data but may not be continuously ineligible.	a. Request the recipient (if the recipient is the taxpayer) to **sign** (or have the taxpayer sign) **the appropriate authorization** form (i.e., SSA-4641-U2 or SSA-8510). b. Request the recipient to **submit any available evidence** pertaining to the transaction (e.g., bank statements). The request should be in writing per SI 02301.260 B. c. If the **recipient does not have sufficient evidence** according to the appropriate POMS instructions (as outlined in C.3. below), contact the payer directly using the signed authorization. (See C.4.) d. **Evaluate the evidence** according to C.5.
6. Recipient alleges income/resource belongs to someone else whom the recipient knows. (See SI 01120.210 B. for joint bank accounts.)	a. **Obtain a written explanation** of the ownership of the income/resource from the recipient. b. **Determine if the transaction represents countable** income/resources for SSI purposes. c. Request the recipient (if the recipient is the taxpayer) to **sign** (or have the taxpayer sign) the **proper authorization** (SSA-4641-U2 or SSA-8510). d. Request the recipient to **furnish evidence** to support his/her allegation. e. If the **recipient does not have sufficient evidence,** contact the payer or the individual alleged to be the owner using the signed authorization. (See C.4.) f. **Evaluate the evidence** according to C.5.

B. Operating Procedure (Cont.)

IF:	*THEN:*
7. Recipient denies knowledge of transaction; recipient is not taxpayer (e.g., is a deemor). (If the recipient is the taxpayer,follow 8. below.)	a. **Obtain** (or request the recipient to obtain) **signed authorization** from the taxpayer (e.g., SSA-4641-U2 or taxpayer SSA-8510). b. **Obtain a statement** from the taxpayer (if known) regarding the transaction. c. If the statement is not received or is insufficient, contact the payer using the signed authorization (if one was received). See C.4. for information about requesting evidence of ownership. d. **Evaluate the evidence** according to C.5. See SI 01110.010 B. regarding the treatment of unknown assets.
8. Recipient disagrees with IRS data; recipient is the taxpayer.	Obtain any evidence which the recipient has available to support his/her allegation. If evidence is not available, proceed as follows: • Request the recipient to sign the appropriate authorization(s) (SSA-8510 or SSA-4641-U2). • Contact the payer to determine the accuracy of the IRS data. See C.4. • Evaluate the evidence according to C.5.
9. Recipient in payment status CØ1, MØ1, or PØ1 does not respond to SSA-3470 or refuses to provide information.	a. See SI 02310.005 C.2. regarding suspension for failure to cooperate and SI 02310.046 B.1. for limitations on the use of unverified IRS data. b. If the payer is not a financial institution, attempt to obtain the necessary verification from the payer directly.
10. Recipient in a nonpayment status does not respond or refuses to provide information.	See SI 02310.005 B.2.
11. Recipient no longer lives in service area.	Transfer alert and any related information to the new servicing FO. Follow the same procedures used in transferring redeterminations (SI 02305.046).
12. Recipient is deceased.	Develop only if recipient had an eligible spouse or left an estate. (See GN 02220.120.)

C. Development and Documentation

1. UNDERLYING RESOURCES

a. General

In many situations, the IRS data will reflect a payment made in connection with an underlying resource (e.g., interest on a bank account). While the payment may consitute income for SSI purposes, the ownership of the resource may be a more significant issue.

Interviewers will not always be able to determine the type of resource involved since only the payment is shown.

Careful questioning of the individual will be necessary in these cases to determine the type of resource which may be involved.

The chart in C.3. points out the transactions which may involve underlying resources and the development required.

b. Excluded Resources

Situations in which the underlying resource may not belong to the recipient or may be excluded, are:

- Joint bank accounts or certificates of deposit (SI 01120.210 B. and SI 01120.220);
- Funds set aside for burial (SI 01120.500)
- Property essential to self-support (SI 01140.001 ff.);
- Resources specifically designated for a plan of self-support for the blind and disabled (SI 00870.001);
- Trusts (SI 01120.105);
- Retroactive title II and title XVI payments (SI 01120.400 and .401);
- Indian per capita judgment funds and proceeds (SI 00840.260);
- Proceeds from sale of a home (SI 01130.430);
- Assets held under the Uniform Gift to Minors Act (SI 01120.115); and
- Benefits excluded by Federal statutes (SI 01110.060).

2. SELF-EMPLOYMENT INCOME

The reported payment may be self-employment income (SEI) rather than unearned income. Refer to the chart in C.3. to identify the types of transactions which may involve SEI.

3. DOCUMENTATION

The documentation required for a particular case will be determined by the type(s) of transaction(s) involved. The following chart describes each type of transaction displayed on the IRS alerts and indicates the POMS sections which specify the documentation required for each type. The first column of the chart shows the legend which will appear on the alert to indicate the type of transaction(s) involved.

C. Development and Documentation (Cont.)

3. DOCUMENTATION (Cont.)

ALERT IDENTIFICATION AND DEVELOPMENT

Alert Legend	Transaction Identification	Potential Income Development	Potential Resource Development
Agrisubs	Agricultural subsidies Source: Governmental agencies, usually Federal. See SI 02310.049 B.8.	POSSIBLE SEI--Net Earnings from Self Employment-- SI 00820.200 ff.and RS 01803.210.	Property essential to self-support-- SI 01140.001 ff.
Bartering	Source: Noncash trading activity performed through brokers or with business entities.	Unearned income-- SI 00830.001 ff. POSSIBLE SEI N/E from S/E-- SI 00820.200 ff.	Determine according to type of item received.
Business Act	Source: Business activity. BE ALERT TO UNDERLYING RESOURCES.	POSSIBLE SEI N/E from S/E— SI 00820.200 ff.	Property essential to self-support-- SI 01140.001 ff.
Capital Gains	Source: Sale of an asset. BE ALERT TO UNDERLYING RESOURCES.	Not income.	Transfer of resource-- SI 01110.070. Distinguishing resources from income-- SI 01110.020.
Co-op Dividends	Source: Membership in a business cooperative. See SI 02310.049 B.10	Dividends and Interest-- SI 00830.260.	Stocks SI 01120.225.
DisqInterest	Disqualified interest Source: Mutual funds, time deposits. This is an indication that tax-free status of interest paid in a prior year has been changed. BE ALERT TO UNDERLYING RESOURCES	Not income in year of report. Original receipt of interest in prior year may be income. Dividends and interest-- SI 00830.260.	Time deposits-- SI 01120.220. Mutual fund shares-- SI 01120.230.
Dividends	Sources: Stocks, mutual funds, life insurance. BE ALERT TO UNDERLYING RESOURCES.	Dividends and interest-- SI 00830.260.	Stocks-- SI 01120.225. Mutual fund shares-- SI 01120.230. Life insurance-- SI 01130.700 ff.

C. Development and Documentation (Cont.)

3. DOCUMENTATION (Cont.)

ALERT IDENTIFICATION AND DEVELOPMENT (CONT.)			
Alert Legend	**Transaction Identification**	**Potential Income Development**	**Potential Resource Development**
Fish Proceeds	Source: Participation in commercial fishing operation as sole proprietor or partner. BE ALERT TO UNDERLYING RESOURCES.	POSSIBLE SEI N/E from S/E— SI 00820.200 ff.	Property essential to self-support-- SI 01140.001 ff.
Forfeit Interest	Interest forfeiture Source: Time deposit certificates. Interest penalty paid for cashing time deposit before maturity. BE ALERT TO UNDERLYING RESOURCES.	Not income.	Time deposits-- SI 01120.220.
Govt Interest	U.S. Government interest payments. Sources: U.S. Savings Bonds, Treasury Bills, Treasury Notes, Treasury Bonds. Note: Does not include interest on tax refunds.	Dividends and interest-- SI 00830.260. See SI 02310.049 B.6.	Development will depend on the type of security involved: U.S. Savings Bonds-- SI 01120.240. Municipal, Corporate, and Government Bonds-- SI 01120.235. Time deposits-- SI 01120.220. See SI 02310.049 B.6.
In-Kind Div	In-Kind Dividends Sources: Stocks mutual funds, business cooperatives. Cash values are assigned to these in-kind distributions by the payer. BE ALERT TO UNDERLYING RESOURCES.	Dividends and interest-- SI 00830.260.	Stocks-- SI 01120.225. Mutual fund shares-- SI 01120.230.

C. Development and Documentation (Cont.)

3. DOCUMENTATION (Cont.)

ALERT IDENTIFICATION AND DEVELOPMENT (CONT.)

Alert Legend	Transaction Identification	Potential Income Development	Potential Resource Development
Interest	Sources: Bank accounts, bonds, loans made, preferred stocks, certificates of deposits, life insurance policies, mutual funds, burial funds, escrow accounts, delayed tax refunds. BE ALERT TO UNDERLYING RESOURCES.	Dividends and interest--SI 00830.260 Burial fund interest--SI 00840.065.	Checking and savings accounts--SI 01120.210. Promissory notes, loans, real property agreements--SI 01120.215. Time deposits--SI 01120.220. Mutual fund shares --SI 01120.230. Municipal, corporate, and government bonds--SI 01120.235. Life insurance--SI 01130.700 ff. Burial fund--SI 01120.245. Stocks--SI 01120.225.
IRA	Source: Contribution to an Individual Retirement Account (IRA) or IRA Rollover.	Not income. IRA distributions are shown under the "Retirement Plan" legend (see 20, below) or as 5H alerts (see SI 02310.052.	Development depends on how the IRA is invested. Treatment of retirement funds as resources--SI 01110.010 E., SI 01150.107. Time deposits--SI 01120.220. Mutual fund shares --SI 01120.230.
Medical Pmts	Source: Medical insurance payments usually made by an insurance carrier to a medical provider.	POSSIBLE SEI N/E from S/E--SI 00820.200 ff. Medical and/or social services, related cash and in-kind items--SI 00810.030.	BE ALERT TO RETENTION AS A RESOURCE--SI 01110.020.
Nonwage	Source: Payments made by a business entity to suppliers and contractors or employees for non-wage items such as expense reimbursement. Beginning	Unearned Income--SI 00830.001. POSSIBLE SEI N/E from S/E--SI 00820.200 ff. Determining amount of unearned income SI 00830.020.	BE ALERT TO RETENTION AS A RESOURCE--SI 01110.020.

C. Development and Documentation (Cont.)

3. DOCUMENTATION (Cont.)

ALERT IDENTIFICATION AND DEVELOPMENT (CONT.)			
Alert Legend	Transaction Identification	Potential Income Development	Potential Resource Development
Nonwage (cont.)	with alerts released in October 1986, this transaction will only be shown for payments made to corporate executives who lose their jobs following a corporate merger.		
Partnershare	Distributive shares from partnership Source: Partnership business entity. May be earned income if individual routinely declares these distributions as self-employment income. BE ALERT TO UNDERLYING RESOURCES.	Unearned Income--SI 00830.001 ff. POSSIBLE SEI N/E from S/E--SI 00820.200 ff.	Resources, General--SI 01110.001 ff. Property essential to self-support--SI 01140.001 ff.
Prizes	Source: Prizes and awards.	Prizes and awards --SI 00830.240.	BE ALERT TO RETENTION AS A RESOURCE --SI 01110.020.
Property	Source: Fair market value of property abandoned or acquired as full or partial satisfaction of a debt (e.g., as payment of a loan) or sale of real estate.	Proceeds of a loan--SI 00810.070. Dividends and interest--SI 00830.260.	Real property--SI 01130.300 ff., SI A01130.100 ff.
Rents	Source: Rents received for the use of land, buildings, machinery or equipment.	Rental income--SI 00830.250.	Property essential for self-support--SI 01140.001 ff.
Retirement Plan	Source: Transactions occuring within or between plan(s) or between a plan and individual. This legend is shown	Annuities, pensions, or disability payments other than SSA--SI 00830.140.	For IRA's, the development will depend on how the funds are invested. Treatment of retirement funds

C. Development and Documentation (Cont.)

3. DOCUMENTATION (Cont.)

	ALERT IDENTIFICATION AND DEVELOPMENT (CONT.)		
Alert Legend	**Transaction Identification**	**Potential Income Development**	**Potential Resource Development**
Retirement Plan (cont.)	if the transaction closed the payee's account. Other distributions are shown on form W-2P (SI 02310.052) NOTE: Intra and interplan transactions (including rollovers) are not chargeable to the individual for SSI purposes. BE ALERT TO UNDERLYING RESOURCES.		as resources-- SI 01110.010 E, SI 01150.107.
Royalties	Source: Royalties BE ALERT TO UNDERLYING RESOURCES	Royalties -- SI 00830.270.	Property essential to self-support-- SI 01140.001 ff.
Security Trades	Source: Sale of asset. BE ALERT TO UNDERLYING RESOURCES.	Not income	Stocks-- SI 01120.225. Municipal, corporate, or Government bonds-- SI 01120.235. Distinguishing resources from income -- SI 01110.020.
Tax Refund	Source: Government agencies, usually State.	Income tax refunds-- SI 00810.050. BE ALERT TO UNDERLYING INCOME ON WHICH TAXES WERE PAID	BE ALERT TO RETENTION AS A RESOURCE-- SI 01110.020.
Unemp Comp	Source: Unemployment compensation	Unemployment compensation -- SI 00830.190.	BE ALERT TO RETENTION AS A RESOURCE-- SI 01110.020.
Winnings	Source: Gambling activity.	Unearned income-- SI 00830.010 and SI 00830.240.	BE ALERT TO RETENTION AS A RESOURCE-- SI 01110.020.

C. Development and Documentation (Cont.)

4. REQUESTING EVIDENCE

a. General

Request the payer or recipient to provide evidence from both active and inactive records.

See SI 02310.049 for special situations.

b. Requesting Identifying Information

If the recipient denies any knowledge of the income/resource, FO's should request the payer to provide any identifying information available such as the taxpayer's address (to verify the address shown on the alert), date of birth, and/or copy of the taxpayer's signature. (Include this request on the SSA-4641-U2 if this involves a financial institution). A Numident query (SM 00210.240) may be useful to determine if the individual used other names.

c. Identifying Location of Bank Account

Some banks use the bank account number to indicate the particular branch where an account is located. If the taxpayer is unable to provide the location for an account, a local branch of the bank may be able to identify the location of the account based on the account number.

d. Use of Local Sources for Evidence

In some situations, the payer's address shown on the alert may be that of the payer's administrative offices. Unless there are other arrangements with the payer, attempt to obtain evidence locally (e.g., through a local branch of a bank or brokerage firm or a local life insurance agent) before asking another FO for assistance.

e. Source of Evidence is Outside of Service Area

If evidence is not available locally, follow the instructions in GN 00904.080 ff. when you need information from a third party outside the FO's service area.

If an SSA-562-U3 is necessary, attach a copy of the IRS alert. (Obscure data on the alert for any transactions which do not pertain to the request for assistance.)

5. EVALUATING EVIDENCE

a. General

Evaluate the evidence to determine if it satisfies the statutory verification requirements noted in SI 02310.046 A. (as well as the requirements specified in the appropriate POMS section for the particular type of income/resource involved).

b. Evidence Establishes Ownership of Resource/Receipt of Income

If the evidence establishes that the transaction belongs to the recipient (or individual from whom SSA deems income/resources), **determine if the transaction constitutes countable income or resources** for SSI purposes.

If the recipient previously denied ownership, **advise the recipient that the evidence establishes ownership** and document the file with an RC indicating that SSA advised the recipient that the evidence establishes ownership and that the recipient had opportunity (as required by B.6.) to offer evidence to rebut ownership. See section 6. for additional information to include on the RC if the verified IRS data adversely affects the recipient. Take appropriate action to reduce, suspend, or terminate benefits.

If the recipient continues to dispute the IRS data despite the evidence, advise the recipient of his/her appeal rights. (A Goldberg/Kelly notice is still required.)

c. Evidence Establishes Owner Other Than Recipient or Deemor

If the evidence establishes that the transaction does not belong to the recipient (or individual with deemed income/resources), no further development of the IRS data is necessary.

Advise the recipient to contact the payer to correct the payer's records to avoid future matches. When necessary, assist the recipient by contacting the payer. (Recipient consent is not necessary to notify the payer that an incorrect SSN is being used.)

See SI 01120.210 B. for cases involving joint bank accounts.

C. Development and Documentation (Cont.)

5. EVALUATING EVIDENCE (Cont.)

d. Payer Is Unable to Furnish Evidence

If the individual has insufficient records or denies any knowledge of the transaction and the payer is unable to locate any records, discontinue development of that particular transaction.

Accept the individual's allegation regarding ownership, receipt, and value of the income/resource.

The file must indicate a request to the payer to search records of all possible sources of the transaction.

See SI 02310.049 B.1 for instructions pertaining to interest transactions and SI 02310.049 B.5. for transactions involving life insurance companies.

6. ADVERSE ACTION CASES

a. **Prepare an RC** for each case in which IRS data adversely affects a claimant/recipient (i.e., through reducing, suspending, or terminating payments or establishing or increasing overpayments for a prior record).

b. The RC **describes the basis** for suspension for failure to provide information or the basis for determining:

- the amount of the income/resource;
- ownership of and access to the income/resource;
- the period in which the individual received the income or had the resource; and
- that the income/resource was/is countable for SSI purposes.

02310.049 IRS Alert Development—Special Situations

A. Introduction

During the first few years of the IRS interface, SSA identified several situations which required special treatment. This section provides guidelines to address those situations.

B. Development

1. INTEREST TRANSACTIONS

a. Identifying the Source of the Interest

If an alert shows an interest transaction from a financial institution but the **taxpayer denies ownership of a bank account**, question the taxpayer about any other dealings he or she may have had with the institution such as ownership of a Certificate of Deposit or a savings bank life insurance policy, a closed bank account, or the sale of negotiable instruments (e.g., bonds).

Financial institutions must report payments of accrued interest which they make when they purchase a negotiable instrument from the prior owner. These are sometimes known as "window" payments.

b. Individual denies any dealings with the institution

Request the taxpayer to sign an SSA-4641-U2 and contact the institution.

Request the institution to check its active and closed records of **all** interest bearing items, not just savings or checking accounts. Since the institution is the source of the IRS data, it should have a record of the transaction.

See SI 02310.048 C.5.d. about curtailing development.

See 6. below for U.S. Government interest postings.

See 10. below regarding interest paid by IRS.

c. Verification of Interest Income

Do not verify interest income if:

- It can be excluded as infrequent/irregular;
- The individual agrees with the amount shown on the alert; or

B. Development (Cont.)

1. INTEREST TRANSACTIONS (Cont.)

- The individual disagrees with the amount on the alert but provides a reasonable explanation to resolve the discrepancy.

In the latter two situations, accept the individual's allegation. In any other situation, contact the payer for verification.

2. DUPLICATE INTEREST POSTINGS

a. Some banks have inadvertently submitted **duplicate reports of interest payments** to IRS. Therefore, for **interest** transactions which appear as exact duplicates on the IRS alert (i.e., the payer data and amount are identical) accept the individual's allegation that only one account exists.

b. This tolerance pertains only to interest transactions.

NOTE: If, after disregarding one of the duplicate interest postings, the total amount of all the remaining resource indicators is less than $41, development of the resource indicators is unnecessary.

3. PAYER NAME/ADDRESS IS BLANK OR APPEARS INAPPROPRIATE

a. A transaction may show a payer which is not normally expected to issue the type of payment involved, such as an antique company or plumbing company issuing an interest payment.

b. Obtain a detailed earnings query **(DEQY)** per RM 03910.105 using the payer's taxpayer identification number **(TIN)** to identify the payer.

Do not request payer name/address data from IRS.

4. PAYEE NAME APPEARS INAPPROPRIATE

a. A **payee** shown on an alert may be a company whose TIN contains the same series of digits as the recipient's or deemor's SSN.

b. If a **payee** shown on the IRS alert has a **different name and address than the recipient** or deemor, **obtain a DEQY** per RM 03910.105 to determine if the SSN is also a company's TIN.

c. **Do not contact IRS.**

5. LIFE INSURANCE COMPANIES

a. **If a life insurance company is the payer** for a transaction, do not assume that the individual owns a life insurance policy.

b. The transaction could represent **distributions on the company's stock** or an investment plan managed by the company (e.g., mutual fund).

c. Life insurance companies are not required to report **partial returns of premiums** (usually referred to as "dividends" by the company). However, a return of premiums may be erroneously reported as "interest" or "dividends" on the form 1099. See SI 00830.260.

6. U.S. GOVERNMENT INTEREST PAYMENTS

a. General

Government interest payments represent interest paid on U.S. Government securities. Development will depend on the type of security involved.

See SI 01120.240 for information on U.S. Savings Bonds and SI 01120.235 for information on other government bonds.

b. Security Identification

Identify the type of security involved based on the payer's TIN shown on the alert. The following chart lists the type of security associated with each TIN:

TIN	*Security*
530197074	Treasury Bonds, Notes, or Bills
540985244	Series H or HH Savings Bonds
550416249	Series E or EE Savings Bonds redeemed directly through the Bureau of the Public Debt (BPD).
550624229	Retirement Plan Bonds or Individual Retirement Plan Bonds

If any other TIN is shown, the security will usually be a series E or EE savings bond redeemed at a local bank.

B. Development (Cont.)

6. U.S. GOVERNMENT INTEREST PAYMENTS (Cont.)

c. Verification of Security Value

Where possible, use the individual's records to determine the value of the security.

If the type and issue date are known, you may obtain retroactive values through the electronic stock verification process (SI 01120.225).

If the individual's records are insufficient to determine the value of the security, request the taxpayer to sign an SSA-8510 (and an SSA-4641-U2 if the payer is a bank) and proceed as follows:

- **If payer is a bank** (other than Federal Reserve Bank), request the bank to provide any information it has about the security such as the value of the security, the date it was purchased, and the date it was redeemed; or
- **If the payer is not a bank** (or is a Federal Reserve Bank) **or the bank is unable to provide sufficient evidence**, contact BPD as described below. Do not contact BPD if the individual knows the series (e.g., E, EE), the face value, and the issue date. See SI 01120.240 for instructions.

d. BPD Records

BPD maintains registration records for savings bonds and other Treasury securities.

Registration records for **series E bonds issued prior to January 1974** are stored by the name and address of the owner (or first-named joint owner) **given at the time of purchase.**

Records of **bonds issued between September 1957 and January 1974** are computerized. BPD personnel search for records using the owner's first and middle initials, first six letters of the surname, and the first four letters of the city or town.

Records for **bonds issued prior to September 1957** require manual search. BPD will not search these records unless the FO request indicates that the bond was probably issued during this period.

Records for **series E bonds issued from January 1974 to the present** and series EE, H, and HH bonds are stored by the owner's SSN (or the SSN of the first-named joint owner).

e. BPD Contact

Because of BPD's method of recordkeeping, carefully question the individual to obtain as much information as possible about the bond(s).

If the bond is owned jointly, obtain the SSN, name, address (as of the date of purchase), and SSN of all joint owners. (Some of this information may be on the microfiche or alphadent file.)

If the bond was received as an inheritance, ask for the original owner's name and SSN.

If the bond was received as a gift, ask the individual for the purchaser's name, address, and SSN.

Although interest on E or EE bonds is reported under the SSN of the individual who redeemed the bond, the registration may be under the name of a joint owner.

All contacts with the BPD are made via an **SSA-562-U3** to the parallel FO according to the following chart. BPD will not honor direct requests for information.

B. Development (Cont.)

6. U.S. GOVERNMENT INTEREST PAYMENTS (Cont.)

Type of Security	*BPD Office*
U.S Savings Bonds (all series), Retirement Plan Bonds, and Individual Retirement Plan Bonds	Parkersburg, WV 26101 Parallel FO: 307
All other U.S. Treasury securities	1300 C St., S.W. Washington, D.C. 20024 Parallel FO: 270

The **SSA-562-U3 is accompanied by a signed and dated SSA-8510** and a copy of the IRS alert. (Obscure information pertaining to other transactions on the alert.)

NOTE: When a representative payee signs the SSA-8510, add the following statement:

> **SSA records confirm that the person who signed this form is a representative payee for the beneficiary, and as such, is permitted to authorize disclosure.**

Furnish all available information about the security, e.g., type, series, face value, serial number, date of purchase, date of redemption, and names, addresses, and SSN's of any joint owners of the security.

Do not follow up on the request until 45 days after the date the SSA-562-U3 was sent.

B. Development (Cont.)

7. AMERICAN TRANS-TECH

a. General

American Transtech processes tax data for American Telephone and Telegraph (AT&T) and the regional operating companies formed during AT&T's 1984 divestiture.

b. Obtain Evidence from Recipient

Request the recipient to submit documentation.

Advise the recipient that AT&T and each regional operating company has a toll-free ("800") phone number which the taxpayer may call to obtain information about his/her shareholding. The number may be obtained through directory assistance. (Consult your local telephone directory for instructions.)

If the number of shares owned is known, stock values may be obtained through the electronic stock verification process. See SI 01120.225.

c. Contact with American Transtech

If contact with American Transtech is necessary, obtain a signed SSA-8510 from the stockholder.

Prepare an SSA-L732 requesting the following information:

- Confirmation of the name and address of the stockholder(s):
- Number of shares of stock owned in AT&T and each regional operating company at the time of divestiture; and
- Dates of sale or purchase of any shares in AT&T or one of the regional companies and the number of shares involved for each transaction. Identify the company (or companies) for which information is requested and indicate the time period for which this information is needed.

Forward the SSA-L732 and the SSA-8510 to American Transtech via an SSA-562-U3 to the parallel FO (Jacksonville, FL, Downtown).

Attach a copy of the IRS alert to the SSA-562-U3. Obscure any information about transactions which do not pertain to the request for assistance.

Since American Transtech will not honor multiple requests on the same account, obtain all necessary information on the initial request.

Upon receipt of the data, determine the value of the stock (See SI 01110.055 and SI 01120.225.)

Do not followup on the request until 45 days after the date the SSA-562-U3 was sent.

8. AGRICULTURAL SUB-SIDIES

a. Most agricultural subsidies list the payer as the U.S. Department of Agriculture, Agricultural Stabilization and Conservation Service (ASCS) in Kansas City, Missouri. That office does not have information concerning the payments.

b. If the **individual does not have sufficient evidence** to verify the payment(s), contact the local agricultural agency for the county in which the individual's farm is located.

c. The **individual denies ownership of a farm and/or receipt of the payment,** contact ASCS, via an SSA-562-U3 to the parallel FO (1734 E. 63rd Street, Kansas City, Missouri 64110) to identify the appropriate county using the payee account number shown on the alert. Attach a copy of the alert to the SSA-562-U3. (Obscure all other transactions.) Upon receipt of the response from ASCS, contact the county agency for verification of the payment.

9. PAYER IS IRS

Interest transactions which show the payer as the Internal Revenue Service are **interest payments made on tax refunds.**

Do not contact IRS for information on these cases.

Verification of the amount of the interest is not necessary since IRS is the source of the interest payments.

Request the individual to submit any available evidence of the date of receipt.

If the individual does not have any evidence, accept his/her allegation as to the receipt (or nonreceipt) of the interest, including the date of receipt.

B. Development (Cont.)

10. CO-OP DIVIDENDS

This category includes several types of payments made in connection with business (usually farming) cooperatives.

The payments could represent dividends on the co-op's capital stock or could represent payment for products marketed by the co-op on behalf of the individual. This latter situation is common among dairy farm co-op's.

Careful questioning of the individual is necessary to determine the appropriate course of development.

C. Policy Principle — Disclosure of data to IRS

The Privacy Act prohibits the release of a information for law enforcement purposes unless requested in writing by the head of the law enforcement agency. Therefore, do not disclose information to IRS regarding **another individual using the SSI recipient's** SSN on a bank account or other asset regardless of the reason why this was done.

02310.050 Master Earnings File (MEF) Interface

A. Introduction

The MEF was created to allow online access to SSA's earnings data. The MEF data were previously stored on the Summary Earnings Record, the Earnings Reference File and several other offline files containing noncovered earnings and data from pension income reports (forms W-2P).

B. Description of Match

1. SYSTEMS PROCESS

The MEF interface compares MEF pension and earnings data with information posted to the SSR according to the criteria described in SI 02310.052 B. and SI 02310.055 B. Separate diaries are generated for earned income (K6/K7) and pension income (5H) discrepancies.

The interface is generally conducted twice each year. The initial release of MEF cases is scheduled to coincide with the first redetermination release of the fiscal year. A supplemental release, which contains data which was posted to the MEF subsequent to the initial interface, is usually scheduled for December or January.

FO's will be advised by the NEWS of the release of MEF cases.

See SM 02001.600 ff. for additional information about the systems processing for the MEF interface.

2. ALERTS

Since the MEF data are available on-line via the DEQY (RM 03910.105 ff), the interface will not generate paper alerts. The term "alerts" as used in SI 02310.050-.055 refers to DEQY printouts. See E., below.

C. Related Policy

MEF alerts contain tax data, therefore, the instructions in SI 02310.040-.042 apply. Review those instructions thoroughly before processing MEF alerts.

D. Operating Policy

1. PERIOD OF REVIEW

Although MEF data are provided for a specific tax year, the individual may have received earnings or pension income during periods of eligibility prior to or subsequent to that tax year. See SI 02310.005 C.1. to determine the period subject to correction. Use the SSR transaction date (SE transaction code) as the date of the "affirmative action in writing."

Consider fraud or similar fault for all alerts issued in the supplemental release since the data may pertain to a period more than 2 years prior to the run date.

2. RECIPIENT CONTACT

Unless the SSR or FO records/files clearly indicate that the MEF data have been developed previously, follow the instructions in SI 02310.042 to contact the recipient to discuss the MEF data.

3. COORDINATION WITH IRS ALERTS AND REDETERMINATIONS

a. To the extent possible, **develop the MEF data in conjunction with any IRS data and/or pending redetermination** for the recipient.

D. Operating Policy (Cont.)

3. COORDINATION WITH IRS ALERTS AND REDETERMINATIONS (Cont.)

b. If the MEF data are released after completion of a redetermination **do not delay development** of the MEF data until the next scheduled redetermination.

c. See SI 02305.022 regarding the need for an **unscheduled redetermination.**

4. PRIORITY PROCESSING OF MEF ALERTS

The MEF alerts (and associated redeterminations) should receive the same priority attention as the IRS alerts.

E. Operating Procedure

1. IDENTIFY RECORDS CONTAINING MEF DIARIES

Records containing MEF diaries (K6, K7, and 5H) may be identified by accessing the CORC system. (SM 01501.501 ff.).

2. OBTAIN DEQY PRINTOUT

After identifying the MEF cases through CORC, obtain a DEQY for each case to obtain specific MEF data (i.e., amount of the wages/pension and name and address of the employer/pension payer).

F. Development and Documentation

1. GENERAL

Develop the MEF alerts according to the instructions in SI 02310.046 D.

2. MEF DATA INCOMPLETE

Because of the timing of the reporting and posting process, MEF data may be incomplete or unavailable at the time of the first redetermination release of the fiscal year.

Ask the individual if he/she had any additional employers or sources of pension income.

3. 5H ALERTS

See SI 02310.052 for specific instructions about pension income (5H) alerts.

4. K6/K7 ALERTS

See SI 02310.055 for specific instructions about processing earned income (K6/K7) alerts.

02310.052 MEF Pension Alerts

A. Introduction

Each year, payers of pensions, annuities, or Individual Retirement Account (IRA) funds are required to submit a report to IRS showing the amount paid to each individual. These reports are submitted to SSA on Form W-2P (Statement for Recipients of Annuities, Pensions, Retired Pay, or IRA Payments).

NOTE: Payments which close out a payee's account (i.e., lump sum distributions) are reported on form 1099-R and should be detected during the IRS interface. See SI 02310.045 ff.

B. Description of the Match

1. CASES INCLUDED IN MATCH

The match involves a comparison of the SSN's shown on the W-2P's with the SSN's contained on the SSR. Only cases in current payment status or force payment will be matched. SSN's for eligible individuals and individuals from whom income and/or resources are deemed will be matched.

2. MATCH CRITERIA

If a match is detected, the pension amount shown on the W-2P will be compared with the type D, L, M, or P unearned income on the SSR.

A 5H diary will be posted to the SSR for each record which meets the following criteria:

- The recipient's initial date of eligibility is January of the tax year involved or earlier, **and** the W-2P amount exceeds the SSR amount by $12 or more; **or**

B. Description of the Match (Cont.)

2. MATCH CRITERIA (Cont.)

- The recipient's initial date of eligibility is later than January of the tax year involved and SSR does not reflect any pension.

See SM 02001.610 for additional information about systems processing of the match.

C. Development and Documentation

1. GENERAL

a. The W-2P data may constitute income (e.g., pension) or may indicate the existence of a resource (e.g., IRA).

b. **Careful questioning** of the taxpayer will be necessary to determine the necessary development.

c. **For IRA's,** the development will depend on how the IRA funds are invested (e.g., bank accounts, mutual funds).

2. DEVELOPMENTAL REFERENCES

a. See SI 02310.050 for general instructions about **MEF data.**

b. See SI 02310.040 C.1. for information about **coordinating alerts with redeterminations** and SI 02310.005 B.2. about processing alerts in nonpay cases.

c. See SI 00830.140 for instructions related to the **development of pensions or annuities.**

d. Refer to SI 01120.001 ff. for the appropriate instructions regarding **development of IRA's.**

e. See SI 01150.107 regarding the **exclusion of pension funds from resources.**

f. The **information on the pension alert is tax** data and is subject to the disclosure provisions in SI 02310.041.

3. LIMITED DEVELOPMENT

a. Development is not required if the SSR or FO files/records clearly indicate that the W-2P data were **previously developed and are now properly posted** to the SSR, or were **excluded or were found not to belong to the individual.**

b. Refer to the instructions in SI 02310.047 D. for **documentation requirements.**

c. See SI 00810.200 A.3. about limiting development if the **taxpayer is a deemor** who also receives a governmental needs-based payment.

4. FULL DEVELOPMENT

a. If full development is required (i.e., the criteria in 3. above do not apply) **contact the taxpayer** according to the instructions in SI 02310.042 to discuss the W-2P data.

b. If the **individual agrees** with the W-2P data, determine whether the W-2P refers to a pension or annuity or an IRA and request any necessary evidence.

c. If the **individual disagrees** with the W-2P data or does not have sufficient evidence to verify the data, obtain a signed SSA-4641-U2 (if the payer is a financial institution) or an SSA-8510 and contact the payer according to SI 02310.048 C.4.

d. Evaluate the evidence according to SI 02310.048 C.5.

5. ADVERSE ACTION CASES

a. Documentation

Prepare an RC for each case in which a claimant/recipient is adversely affected as a result of the W-2P data (i.e., payments are reduced, suspended, or terminated or an overpayment is established or increased for a prior period).

b. Contents of RC

The RC must describe the basis for suspension for failure to provide information or the basis for determining:

- the amount of the income/resource;
- ownership and access to the income/resource;
- the period in which the individual received the income or had the resource; and

C. Development and Documentation (Cont.)

5. ADVERSE ACTION CASES (Cont.)

- that the income/resource was/is countable for SSI purposes.

6. CLEARANCE PROCEDURES

a. Systems Input

When the development of the W-2P data is completed, input any data necessary to reflect the results of the development on the SSR.

If the pension income is incorrectly posted to the SSR, correct the postings as appropriate to facilitate future matches.

Refer to SM 01305.200 ff. for coding instructions for the UM field. Refer to SM 01301.785 for coding instructions for the CG field.

Refer to SM 01305.400 for coding instructions for the RE field.

Refer to SM 01301.200 for diary deletion instructions.

Refer to SM 01501.501 ff. for instructions on deleting pending controls from CORC.

b. Alert Destruction

The instructions in SI 02310.046 D.3.c. regarding the destruction of IRS alerts apply to W-2P data alerts as well.

02310.055 MEF Earned Income Alerts

A. Introduction

The purpose of the interface with MEF earned income data is to ensure that SSI recipients, spouses, and parents have properly reported their earned income. The MEF reflects the annual amount of each individual's earnings posted for the particular tax year involved as reported on form W-2 or schedule SE.

B. Description of the Match

1. COMPARISON

The interface compares the amount of earnings on the MEF to the amount of earnings shown on the SSR for the tax year.

2. K6 DIARIES

If the recipient's date of eligibility is in January of the tax year involved or earlier, the interface will generate a **K6 diary** if the recipient's or deemor's earnings on the MEF exceed earned income posted to the SSR by $1,000 or more.

3. K7 Diaries

If the recipient's date of eligibility is later than January of the tax year involved, the interface will generate a **K7 diary** only if a deemor's earnings exceed the SSR posting by $2,000 or more **and** either the MEF contains an amended wage report or the SSR does not reflect any wages for the deemor.

C. Development and Documentation

1. GENERAL

a. The K6/K7 alert displays an annual amount. A monthly amount is necessary for SSI purposes if the earnings constitute income for the individual.

b. Since the MEF may not be complete at the time of the interface, ask the individual about any other employers he/she may have had.

2. LIMITED DEVELOPMENT

a. Development is not required if the SSR or FO files/records clearly indicate that the wage data were previously developed and are now properly posted to the SSR, or were found not to belong to the individual.

b. See SI 00810.200 A.3. about limiting development if the taxpayer is a deemor who also receives a governmental needs-based payment.

c. If the earnings were for a period prior to eligibility and the taxpayer alleges that no earned income was received in the first month of eligibility or later, no further development of the alert is required. Delete the diary per SM 01301.200.

3. FULL DEVELOPMENT

a. Full development is required if the criteria in 2, above do not apply.

C. Development and Documentation (Cont.)

3. FULL DEVELOPMENT (Cont.)

b. **Contact the taxpayer** according to the instructions in SI 02310.042 to determine the accuracy of the earned income data.

c. If the **individual agrees** with the alert data **or acknowledges receipt of earned income but disagrees with the amount,** request the recipient to submit evidence of the monthly amount of the income.

d. If the **recipient is unable to provide sufficient evidence,** contact the employer.

e. If the **taxpayer denies receipt of earned income,** contact the employer to obtain identifying or descriptive information about the employee in addition to the monthly amounts.

4. CLEARANCE PROCEDURES

a. Upon completion of the development **transmit any earned income** data per SM 01305.101 ff.

b. **Delete the K6 or K7** diary per SM 01301.200.

c. **Destroy the alerts** as noted in SI 02310.046 D.3.c.

d. **Input CG code** (SM 01301.785) if applicable.

e. **Clear CORC control** per SM 01501.501 ff.

D. Related Policy

1. WAGE DEVELOPMENT

Specific instructions for developing and documenting earned income are contained in SI 00820.100 ff. (wages), SI 00820.200 ff. (net earnings from self-employment), and SI 00820.300 (sheltered workshop earnings).

2. SECTION 1619(a) AND (b)

If the earned income belongs to the recipient, refer to SI 02302.001 ff. for information about continuing benefits under section 1619(a) and (b).

3. PERIOD OF REVIEW

Refer to SI 02310.005 C.1. to determine the period subject to correction.

4. SCRAMBLED EARNINGS

If the results of the development indicate that the earnings do not belong to the recipient or deemor, scrambled earnings may be involved. Follow the instructions in RM 03870.035 ff. for resolution.

5. MEF CORRECTION

The development of an MEF earned income alert may indicate that a correction of the MEF is necessary. In these situations, refer to RS 01403.132. For cases involving State and local government employers, see RS 01505.545.

State Data Interfaces

02310.060 State Data Interfaces—General

A. Introduction

For several years, SSA has negotiated with various State agencies to obtain data from State records which might affect SSI eligibility or payment amount. As a result of these negotiations, several interfaces have been implemented involving State vital statistics, wage, unemployment insurance, temporary disability, Medicaid, income tax, and Aid to Families with Dependent Children (AFDC) data. The purpose of these interfaces is to detect unreported death, income, resources, or living arrangement changes (e.g., admission to or discharge from a title XIX facility or jail).

Despite variations among individual State data interfaces, certain general rules apply to all such interfaces. These rules are discussed in B. Specific guidelines for a particular interface are generally contained in regional POMS instructions. After SSA evaluates the cost effectiveness of various State data interfaces, they may be expanded to other States or implemented nationally. As these interfaces are expanded, specific national instructions will be added.

B. Development and Documentation

1. VERIFICATION

a. **Contact the recipient** (or representative payee) to verify the data.

b. For prisoner or title XIX facility admission/discharge interfaces, **contact may be made with the facility** for verification.

2. DEVELOPMENT

a. **Refer to** the following sections for developmental/documentation instructions pertaining to the most common types of data:

- Wages - SI 00820.001 ff. and SI 02310.062 ff.;
- Unemployment insurance - SI 00830.190;
- AFDC - SI 00830.110 and SI 00830.111;
- Title XIX facility admission/discharge - SI 00520.001 ff;
- State disability payments - SI 00830.140;
- Workers' compensation payments - SI 00830.170 and SI 02310.063;
- State death records - GN 02602.195; and
- Prisoner records - SI 00520.090 and SI 02310.061.

b. Refer to SI 02305.022 to determine if an **unscheduled redetermination** is needed.

02310.061 State/Federal Prison Record Interface

Citation

Section 1611(e)(1)(A) of the Social Security Act

A. Policy Principle

All prisoners are ineligible for SSI benefits for any month throughout which they are a resident of a public institution.

B. Description of Match

1. ALERTS GENERATED

Alerts are wired to servicing FO's for all cases in which the prisoner is in payment status C01 or M01 for the month of confinement or the following month. Initially, the alerts will not be controlled by an SSR diary.

2. ALERT FORMAT AND CONTENTS

See 02310.999 G. for an example of the alert that is the result of the automated SSR match. (The alert format used for SSI cases that are the by-product of the Master Beneficiary Record (**MBR**) match (i.e. prior to implementation of the direct SSR match) is in DI 11505.207). The SSR match alert contains the following special codes:

- **Correctional Facility Code:**
  This code indicates the facility where the prisoner is located (see D.5).
- **Concurrent Title II/Title XVI Eligibility Code:**
  This code indicates if the case is title II/XVI or title XVI only. (Concurrent cases contain a "Y" and SSI-only cases contain an "N.")

C. References

1. RESIDENTS OF PUBLIC INSTITUTIONS

SI 00520.001ff.

2. TITLE II ISSUES

DI 11505.001 ff. and GN 02620.301 ff.

D. Development and Documentation

1. SCREEN STATE LISTINGS

If you receive paper State prisoner listings, manually screen for title II eligibility per DI 11505.001 and obtain an SSI query on each case.

D. Development and Documentation (Cont.)

2. DETERMINE IF THE RECEIPIENT WAS INCARCERATED

a. **Make a personal contact with the recipient** or representative payee to confirm date(s) of confinement and/or discharge.

b. If the **contact is unsuccessful** or additional information is needed, contact the source of the data per 3 below.

3. SOURCES OF INFORMATION

If alert was generated by	*Then....*
The MBR Match	• Refer to the State's code on the alert to identify the source. • Correction agency addresses are listed in D.5. • Contact the State correctional agency or the Federal Bureau of Prisons via an SSA-562-U3 to the parallel FO
The Direct SSR Match	• Find the facility identification code on the alert. • Find the name and address for the facility code below. • Contact the facility via an SSA-562-U3 to the parallel FO.

4. SSR INPUT

If the recipient is ineligible, input the correct payment status and living arrangement code to the SSR (see SM 01301.545).

5. FACILITY CODES AND ADDRESSES

The following chart identifies the name and address associated with each facility code shown on the alerts. If the code is blank, contact your State corrections agency for information.

Code	*Address*
	Federal Bureau of Prisons
ALD	Federal Correctional Institution (**FCI**) Alderson, WV 24910
ALW	Allenwood Federal Prison Camp (**FPC**), Montgomery, PA 17752
ASH	FCI, Ashland, KY 41101
ATL	U.S. Penitentiary, Atlanta, GA 30315
BAS	FCI, Bastrop, TX 78602
BIG	FPC, Big Spring, TX 79720
BRN	FPC, Boron, CA 93516
BUT	FCI, Butner, NC 27509
CAT	Community Programs Office (**CPO**), Richard B. Russell Bldg., 75 Spring St., SW, Room 702, Atlanta, GA 30303
CBM	CPO, City Ctr. Plaza Bldg., Room 218, P.O. Box 2336, Bismarck, ND 58502
CBN	CPO, 619 J.W. McCormack U.S. Post Office and Courthouse Bldg., Boston, MA 02109
CBR	CPO, U.S. Courthouse, Room 601, 101 W. Lombard St., Baltimore, MD 21201
CCC	Metropolitan Correctional Ctr. (**MCC**), 71 W. Van Buren St., Chicago, IL 60605
CCN	CPO, 746 U.S. Post Office and Courthouse, Cincinnati, OH 45202
CDA	CPO, Earle Cabell Federal Bldg., 1100 Commerce St., Room 3B-10, Dallas, TX 75242
CDC	Bureau of Prisons, 320 1st St., NW, Room 307, Washington, DC 20534
CDT	CPO, Federal Bldg., 440 U.S. Courthouse, 231 W. Lafayette Ave. Detroit, MI 48226
CEP	CPO, 109 N. Oregon St., Room 612, El Paso, TX 79901
CFL	CPO, 299 E. Broward Blvd., Room 105F, Ft. Lauderdale, FL 33301
CHN	CPO, 515 Rusk, Room 2511, Houston, TX 79902
CIN	CPO, 348 Federal Bldg., U.S. Courthouse, 46 E. Ohio St., Indianapolis, IN 46204

D. Development and Documentation (Cont.)

5. FACILITY CODES AND ADDRESSES (Cont.)

Code	*Address*
CKC	CPO, Air World Ctr, Ambassador # 1, 10290 Ambassador Dr., Suite 200, Kansas City, MO 64153
CLA	CPO 429K U.S. Courthouse, 312 N. Spring St., Los Angeles, CA 90012
CMS	CPO, 481 U.S. Courthouse, 1110 S. 4th St., Minneapolis, MN 55401
CMY	CPO, P.O. Box 171, Montgomery, AL 36101
CNK	CPO, 207 U.S. Courthouse, Foley Sq., New York, NY 10007
CNO	CPO, Room 915 Fed Bldg., 600 S. Maestri Place, New Orleans, LA 70130
CNV	CPO, 326B U.S. Courthouse, Nashville, TX 37203
CPA	CPO, Customs House, 7th Fl., 2nd and Chestnut Sts., Philadelphia, PA 19106
CPG	CPO, Federal Bldg., 1000 Liberty Ave., Pittsburgh, PA 15222
CPH	CPO, 5413 Federal Bldg., 230 N. 1st. Ave., Phoenix, AZ 85025
CRI	CPO, P.O. Box 1896, Richmond, VA 23215
CRL	CPO, P.O. BOX 27743, Raleigh, NC 27611
CSA	CPO, Federal Bldg., A419, 727 E. Durango Blvd. San Antonio, TX 78206
CSC	CPO, Federal Bldg., Room 1042, 650 Capital Mall, Sacramento, CA 95814
CSD	CPO, 1520 State St., Suite 250, San Diego, CA 92101-2930
CSE	CPO, 3198 Federal Bldg., 915 2nd Ave., Seattle, WA 98174
CSF	CPO, P.O. Box 36137, San Francisco, CA 94102
CSL	CPO, 314 U.S. Courthouse and Post Office, 350 S. Main St., Salt Lake City, UT 84101
CST	CPO, U.S. Court and Customs House, 1114 Market St., Room 606, St. Louis, MO 63101
DAN	FCI, Danbury, CT 06811-3099
DTH	FPC, Duluth, MN 55814
EGL	FPC, Eglin AFB, FL 32542
ENG	Englewood FCI, 9595 W. Quincy, Littleton, CO 80123
ERE	FCI, El Reno, OK, 73076
FTW	FCI, 3150 Horton Rd., Forth Worth, TX 76119-5996
LAT	FCI, La Tuna, TX 88021
LEW	U.S. Penitentiary, Lewisburg, PA 17837
LEX	FCI, Lexington, KY 40511
LOC	FPC, Lompoc, CA 93436
LOM	U.S. Penitentiary, Lompoc, CA 93436
LOR	FCI, P.O. Box 1000, Loretto, PA 15940
LVC	FPC, Leavenworth, KS 66048
LVN	U.S. Penitentiary, Leavenworth, KS 66048
MAR	U.S. Penitentiary, Marion, IL 62959
MEM	FCI, 1101 John A. Denie Rd., Memphis, TN 38134-7690
MIA	MCC, 15801 SW 137th Ave., Miami, FL 33177
MIL	FCI, Milan, MI 48160
MNA	FCI, Marianna, FL 32446
MON	FPC, Maxwell AFB, Montgomery, AL 36112
MRG	FCI, Morgantown, WV 26505
NYM	MCC, 150 Park Row, New York, NY 10007
OAK	Federal Detention Center, Oakdale, LA 71463
OTV	FCI, Otisville, NY 10963
OXF	FCI, Box 500, Oxford WI 53952
PET	FCI, Petersburg, VA 23804-1000
PHX	FCI, Box 1680, Black Canyon Stage 1, Phoenix, AZ 85029
PLE	Pleasanton FCI, Dublin, CA 94568
RBK	FCI, Ray Brook, NY 12977
RCH	Federal Medical Center, P.O. Box 4600, Rochester, MN 55903-4600
SAF	FCI, Safford, AZ 85546
SDC	MCC, 808 Union St., San Diego, CA 92101-6078
SEA	FCI, Seagoville, TX 75159
SPG	Medical Ctr. for Federal Prisoners, Springfield, MO 65808
SST	FCI, Sandstone, MN 55072
TAL	FCI, Tallashassee, FL 32301
TCN	FCI, Tucson, AZ 85706
TDG	FCI, Talledega, AL 35160
TEX	FCI, Texarkana, TX 75501

D. Development and Documentation (Cont.)

5. FACILITY CODES AND ADDRESSES (Cont.)

Code	*Address*
THA	U.S. Penitentiary, Terre Haute, IN 47808
THC	FPC, Terre Haute, IN 47808
TRM	FCI, Terminal Island, CA 90731
	Alabama
0100	Dept. of Corrections, 101 S. Union St., Montgomery, AL 36130
	Alaska
0200	Dept. of Corrections, P.O. Box T, Juneau, AK 99811
	Arizona
0300	Dept. of Corrections, 1601 W. Jefferson, Phoenix, AZ 85007
	Arkansas
0400	Dept. of Correction, 6700 W. 7th St., Pine Bluff, AR 71603
	California
0500	Central Records and Identification Unit, P.O. Box 714, Sacramento, CA 95814
	Colorado
0600	Colorado Dept. of Corrections, 2862 S. Circle Dr., Suite 400, Colorado Springs, CO 80906
0601	Arkansas Valley Correctional Facility (CF), P.O. Box 1000, Crowley, CO 81034
0602	Buena Vista CF, Box R, Buena Vista, CO 81211
0603	Centennial CF, P.O. Box 600, Canon City, CO 81212
0604	Colorado CF, 15000 Golden Rd., Golden, CO 81401
0605	Columbine Center, 3620 W. Princeton Circle, Denver, CO 80236
0606	Colorado Territorial CF, P.O. Box 1010, Canon City, CO 81212
0607	Colorado Womens CF, P.O. Box 50, Canon City, CO 81212
0608	Delta CF, 1140 G-1025 Lane, Delta, CO 81416
0609	Fremont CF, P.O. Box 999, Canon City, CO 81212
0610	Rifle CF, 200 County Rd 219, Rifle, CO 81650
0611	Shadow Mtn. CF, P.O. Box 700, Canon City, CO 81212
0612	Skyline CF, P.O. Box 700, Canon City, CO 81212
	Connecticut
0700	Dept. of Correction, 340 Capitol Ave., Hartford, CT 06106
	Delaware
0800	Dept. of Corrections, 80 Monrovia Ave., Smyrna, DE 19977
	District of Columbia
0900	Dept. of Corrections, 1923 Vermont Ave., NW, Washington, DC 20002
0901	District of Columbia Jail, 1901 D St., SE, Washington, DC 20003
0902	Lorton Reformatory, Lorton, VA 22079
	Florida
1000	Dept. of Corrections, 1311 Winewood Blvd., Tallahassee, FL 32399
	Georgia
1100	Dept. of Corrections, Floyd Veterans Mem. Bldg., 756 E. Tower, 2 Martin Luther King, Jr. Dr., SE, Atlanta, GA 30334
	Hawaii
1200	Corrections Div., Dept. of Social Services and Housing, P.O. Box 339, Honolulu, HI 96809

D. Development and Documentation (Cont.)

5. FACILITY CODES AND ADDRESSES (Cont.)

Code	Address
	Idaho
1300	Dept. of Corrections, Statehouse Mail, Boise, ID 83720
	Illinois
1400	Dept. of Corrections, P.O. Box 19277, Springfield, IL 62794-9277
1401	Centralia Correctional Ctr (CC), P.O. Box 1266, Shuttuc Rd., Centralia, IL 62801
1402	Danville CC, P.O. Box 4001, Danville, IL 61834
1403	Dixon CC, P.O. Box 768, Dixon, IL 61021
1404	Dwight CC, P.O. Box C, Dwight, IL 60420
1405	E. Moline CC, 100 Hillcrest Rd., E. Moline, IL 61244
1406	Graham CC, P.O. Box 499, Hillsboro, IL 62049
1407	Jacksonville CC, E. Morton Rd., Jacksonville, IL 62650
1408	Joliet CC, P.O. Box 515, Joliet, IL 60432
1409	Stateville CC, P.O. Box 112, Joliet, IL 60434
1410	Lincoln CC, P.O. Box 549, Lincoln, IL 62656
1411	Logan CC, RR3, Box 1000, Lincoln, IL 62656
1412	Menard CC, P.O. Box 711, Menard, IL 62259
1413	Menard Psychiatric Ctr. (PC), P.O. Box 56, Menard, IL 62259
1414	Pontiac CC, P.O. Box 99, Pontiac, IL 61764
1415	Sheridan CC, P.O. Box 38, Sheridan, IL 60551
1416	Vandalia CC, P.O. Box 500, Vandalia, IL 62471
1417	Vienna CC, P.O. Box 200, Vienna, IL 62995
	Indiana
1500	Dept. of Corrections, 804 State Office Bldg., Indianapolis, IN 46294
1501	Chain O'Lakes Camp, R.R. 3, P.O. Box 198, Albion, IN 46701
1502	Bloomington Work Release Ctr., P.O. Box 5668, Bloomington, IN 47047
1503	Atterbury Camp for Women, P.O. Box 5668, Bloomington, IN 47047
1504	Indiana State Farm, P.O. Box 76, Green Castle, IN 46135
1505	Clark Co. Youth Camp, P.O. Box 148, Henryville, IN 47126
1506	Indianapolis Men's Work Release Ctr., 448 Norwood St., Indianapolis, IN 46225
1507	Indiana Women's Prison, 401 N. Randolph St., Indianapolis, IN 46201
1508	Indianapolis Women's Work Release Ctr., 512 E. Minnesota Ave., Indianapolis, IN 46203
1509	Summit Farm Work Release Ctr., P.O. Box 156, LaPorte, IN 46350
1510	Medaryville Camp, R.R. 1, P.O. Box 162, Medaryville, IN 47957
1511	Indiana State Prison (SP), P.O. Box 41, Michigan City, IN 46360
1512	Corr. Ind. Complex, P.O. Box 600, Pendleton, IN 46064
1513	Indiana Reformatory, P.O. Box 28, Pendleton, IN 46064
1514	Indiana Youth Ctr., 727 Moon Rd., Plainfield, IN 46168
1515	Reception-Diagnostic Ctr., 737 Moon., Plainfield, IN 46168
1516	Richmond Corr. Unit, P.O. Box 1287, Richmond, IN 47374-8287
1517	South Bend Work Release Ctr., 2421 S. Michigan St., South Bend, IN 46614
1518	Branchville Training Ctr., P.O. Box 500, Tell City, IN 47586
1519	Westville CC, P.O. Box 473, Westville, IN 46391
1520	Westville Work Release Ctr., P.O. Box 4, Westville, IN 46391
	Iowa
1600	Dept. of Corrections, Jewitt Bldg., Suite 250, 10th & Grand Des Moines, IA 50309
1601	Iowa SP, Box 316 Fort Madison, IA 52627
1602	Iowa SP, Box 316 Fort Madison, IA 52627
1602	Iowa State Men's Reformatory, Box B, Amamosa, IA 52205
1603	North Central Iowa CF, P.O. Box 313, Rockwell City, IA 59579
1604	Medium Security Prison, Highway 218 S., Mount Pleasant, IA 52641
1605	Iowa Medical Classification Ctr., Box A, Oakdale, IA 52319
	Kansas
1700	Dept. of Corrections, Jayhawk Towers, 900 Jackson St., Suite 404-N, Topeka, KS 66612

D. Development and Documentation (Cont.)

5. FACILITY CODES AND ADDRESSES (Cont.)

Code	*Address*
1701	Larned State Hospital, P.O. Box 89, Larned, KS 67550
1702	Ellsworth CC, P.O. Box 107, Ellsworth, KS 67431
1703	Osawatomie CF, P.O. Box 69, Osawatomie, KS 66064
1704	Norton CF, Norton, KS 67654
1705	Kansas State Penitentiary, Lansing, KS 66043
1706	State Industrial Reformatory, 500 Reformatory, Hutchinson, KS 67501
	Kentucky
1800	Corrections Cabinet, State Office Bldg., Frankfort, KY 40601
	Louisiana
1900	Dept. of Public Safety and Corrections, P.O. Box 94304, Capitol Station, Baton Rouge, LA 70804-9304
	Maine
2000	Dept. of Corrections, State House-Station 111, Augusta, ME 04333
2001	Maine SP, Box A, Thomaston, ME 04861
2002	Maine CC, 119 Mallison St., South Windham, ME 04082
	Maryland
2100	Dept. of Public Safety and Correctional Services, 6776 Reisterstown Rd., Suite 310, Baltimore, MD 21215-2341
2101	Maryland Penitentiary, 954 Forrest St., Baltimore, MD 21202
2102	Correctional Adjustment Ctr., 401 E. Madison St., Baltimore, MD 21202
2103	Maryland Reception, Diagnostic, and Classification Ctr., 550 E. Madison St., Baltimore, MD 21202
2104	Maryland Correctional Institution (CI), P.O. Box 549, Jessup, MD 20794
2105	Maryland CI for Women, P.O. Box 549, Jessup, MD 20794
2106	Maryland CI, Rt. 3, Box 2000, Hagerstown, MD 21740
2107	Maryland House of Correction, P.O. Box 534, Jessup, MD 20794
2108	Maryland Correctional Training Ctr., Rt. 3, Box 3333, Hagerstown, MD 21740
2109	Roxbury CI, Rt. 3, Box 4444, Hagerstown, MD 21740
2110	Eastern CI, Rt. 1, Box 500, Westover, MD 21871
2111	Brock Ridge CF, P.O. Box 537, Jessup, MD 20794
2112	Baltimore City CC, 901 Greenmount Ave., Baltimore, MD 21202
2113	Patuxent Institution, P.O. Box 700, Jessup, MD 20794
	Massachusetts
2200	Dept. of Corrections, 100 Cambridge St., Boston, MA 02202
2201	Deer Island House of Correction, P.O. Box 112, Winthrop, MA 02152
	Michigan
2300	Dept. of Corrections, P.O. Box 30003, Lansing, MI 48909
2301	Carson City Temp. Facility, 10274 Boyer Rd., Carson City, MI 48811
2302	Florence-Crane Women's Facility, 38 4th St., Coldwater, MI 49036
2303	Lakeland CF, 141 1st. St., Coldwater, MI 49036
2304	Corr. Camp Program, 6000 Maute Rd., RFD 3, Grass Lake, MI 49240
2305	Michigan Dunes CF, 6805 W. 138th St., Rt. 1, Holland, MI 49423
2306	Michigan Training Unit, P.O. Box 492, Ionia, MI 48846
2307	Ionia Maximum Facility, 1576 W. Bluewater Highway, Ionia, MI 48846
2308	Ionia Temp. Facility, 1755 Harwood Rd., Ionia, MI 48846
2309	Michigan Reformatory, Local Box 500, Ionia, MI 48846
2310	Riverside CF, 777 W. Riverside Dr., Ionia, MI 846
2311	Egeler CF, P.O. Box 8000, Jackson, MI 49209
2312	G. Robert Cotton CF, P.O. Box 3003, Jackson, MI 49204
2313	St. Prison of Southern Michigan, 4000 Cooper St., Jackson, MI 49201
2314	Chippewa Temporary Facility, Kincheloe, MI 49785

D. Development and Documentation (Cont.)

5. FACILITY CODES AND ADDRESSES (Cont.)

Code	Address
2315	Kinross CF, Kinchelie AFB, Kicheloe, MI 49788
2316	Thumb Regional Facility, 427 Lake Nepessing, Lapeer, MI 48446
2317	Marquette Branch Prison, Marquette, MI 49855
2318	Muskegon CF, 2400 Sheridan Dr., Muskegon, MI 49442
2319	Phoenix CF, 47900 Five Mile Rd., Plymouth, MI 48170
2320	Scott CF, 47500 Five Mile Rd, Plymouth, MI 48170
2321	Western Wayne CF, 48401 Five Mile Rd., Plymouth, MI 48170
2322	Huron Valley Men's Facility, 3201 Bemis Rd., Ypsilanti, MI 48197
2323	Huron Valley Women's Facility, 3511 Bemis Rd., Ypsilanti, MI 48197
	Minnesota
2400	Dept. of Corrections, 300 Bigelow Bldg., 450 N. Syndicate St., St. Paul, MN 55104
2401	Minnesota CF, 7524 4th Ave., Line Lakes, MN 55014
2402	Correctional Institute for Women, Box 7, Shakepee, MN 55379
2403	St. Cloud State CF, Box B, Reformatory Dr., St. Cloud, MN 56302
2404	Oak Park Heights CF, P.O. Box 10, Stillwater, MN 55082
2405	Stillwater State CF, P.O. Box 55, Stillwater, MN 55802
	Mississippi
2500	Dept. of Corrections, 723 N. President St., Jackson, MS 39202
	Missouri
2600	Dept. of Corrections and Human Resources, 2729 Plaza Dr., Jefferson City, MO 65102
2601	Farmington CC, 1012 W. Columbia, Farmington, MO 63640
2602	Potosi CC, RR 2, Box 2222, Mineral Point, MO 63660
2603	Booneville CC, E. Morgan St., Booneville, MO 65233
2604	Missouri Eastern CC, 18701 Old Highway 66, Pacific, MO 63099
2605	Moberly Training Ctr for Men, P.O. Box 7, Moberly, MO 65270
2606	Honor Camp, Fordland, MO 65652
2607	Chillicothe CC, 1501 W. 3rd, Chillicothe, MO 64601
2608	Western Missouri CC, P.O. Box 376, Cameron, MO 64429
2609	Algoa CC, P.O. Box 538, Jefferson City, MO 65102
2610	Renz CC, P.O. Box 28, Cedar City, MO 65022
2611	Central Missouri CC, P.O. Box 539, Jefferson City, MO 65102
2612	Pre-release Ctr., Rt. 2, Box 176, Tipton, MO 65081
2613	Penitentiary for Men, P.O. Box 597, Jefferson City, MO 65102
2614	Fulton Reception and Diagnostic Ctr., P.O. Box 581, Fulton, MO 65251
	Montana
2700	Dept. of Institutions, 1539-11th Avenue, Helena, MT 59620
2701	State Penitentiary, Deer Lodge, MT 59722
2702	Swan River Forest Camp, London, MT 59826
2703	Women's CC, Warm Springs, MT 59756
2704	Missoula Life Skills Training Ctr., Missoula, MT 59801
2705	Butte Pre-release Ctr., Butte, MT 59701
2706	Great Falls Pre-release Ctr., Great Falls, MT 59403
2707	Alternatives, Inc., Billings MT 59103
2708	Women's Life Skills Training Ctr., Billings, MT 59103
	Nebraska
2800	Dept. of Correctional Services, P.O. Box 94661, Lincoln, NE 68509-4661
2801	Nebraska State Penitentiary, P.O. Box 2500, Lincoln, NE 68502
2802	Nebraska Ctr. for Women, Rt. 1, Box 33, York, NE 68467
	Nevada
2900	Dept. of Prisons, P.O. Box 7011, Carson City, NV 89702

D. Development and Documentation (Cont.)

5. FACILITY CODES AND ADDRESSES (Cont.)

Code	Address
	New Hampshire
3000	New Hampshire SP, P.O. Box 14, Concord, NH 03301
	New Jersey
3100	Dept. of Corrections, CN 863, Trenton, NJ 08652
3101	New Jersey SP, CN 861, Trenton, NJ 08625
3102	Riverfront SP, P.O. Box 9104, Camden, NJ 08101-9104
3103	East Jersey SP. Lock Bag R, Rahway, NJ 07065
3104	Edna Mahan CF for Women, Drawer E, Clinton, NJ 08809
3105	Bayside SP, Leesburg, NJ 08327
3106	Adult Diagnostic and Treatment Ctr., P.O. Box 190, Avenel, NJ 07001
3107	Northen SP, P.O. Box 2300, Newark, NJ 07114-2300
3108	Mountainview Youth CF, Annadale, NJ 08801
3109	Southern State CF, P.O. Box 150, Delmont, NJ 08314
3110	Albert C. Wagner Youth CF, P.O. Box 500, Bordentown, NJ 08505
3111	Mid-State CF, P.O. Box 866, Wrightstown, NJ 08562
3112	Garden State Reception and Youth CF, P.O. Box 11401, Yardville, NJ 08620
	New Mexico
3200	Corrections Dept., 1422 Paseo dePeralta, Santa Fe, NM 87501
	New York
3300	Dept. of Correctional Services, State Campus Bldg. 2, Albany, NY 12226
3301	Adirondack CF, P.O. Box 110, Ray Brook, NY 12977
3302	Albion CF, Albion, NY 14411
3303	Altona CF, P.O. Box 27, Altona, NY 12910
3304	Arthur Kill CF, 2911 Arthur Kill Rd., Staten Island, NY 10309
3305	Attica CF, Attica, NY 14011
3306	Auburn CF, P.O. Box 618, Auburn, NY 13021
3307	Bayview CF, 550 W. 20th St., New York, NY 10011
3308	Bedford Hills CF, 247 Harris Rd., Bedford Hills, NY 10507
3309	Clinton CF, P.O. Box 768, Dannemora, NY 12929
3310	Collins CF, Helmuth, NY 14079
3311	Coxsackie CF, P.O. Box 200, Coxsackie, NY 12051
3312	Downstate CF, Red Schoolhouse Rd., Fishkill, NY 12524
3313	Eastern CF, Napanoch, NY 12458
3314	Edgecombe CF, 611 Edgecombe Ave., New York, NY 10032
3315	Elmira CF, P.O. Box 500, Elmira, NY 14902
3316	Fishkill CF, Beacon, NY 12508
3317	Franklin CF, Box 10, Bear Hill Rd., Malone, NY 12953
3318	Fulton CF, 1511 Fulton Ave., Bronx, NY 10457
3319	Great Meadow CF, Comstock, NY 12821-0051
3320	Green Haven CF, Stormville, NY 12582
3321	Greene CF, P.O. Box 8, Coxsackie, NY 12051-0008
3322	Groveland CF, Rt. 36, Sonyea, NY 14556
3323	Hudson CF, Hudson, NY 12534
3324	Lincoln Cf, 31-33 W. 10th St., New York, NY 10026
3325	Lyon Mountain CF, P.O.Box 77, Lyon Mountain, NY 12952
3326	Mid-Orange CF, Warwick, NY 10900
3327	Midstate CF, P.O. Box 216, Marcy, NY 13404
3328	Monterey CF, Beaver Dam, NY 14812
3329	Mt. McGregor CF, P.O. Box 2071, Wilton, NY 12866
3330	Ogdensburg CF, P.O. Box 366, Ogdensburg, NY 13669
3331	Oneida CF, 6100 School Rd., Rome, NY 13440
3332	Orleans CF, 35-31 Gaines Basin Rd., Albion, NY 14411
3333	Otisville CF, Otisville, NY 10963
3334	Parkside CF, 10 Mt. Morrise Park West, New York, NY 10027

D. Development and Documentation (Cont.)

5. FACILITY CODES AND ADDRESSES (Cont.)

Code	Address
3335	Queensboro CF, 47-04 Van Dam St., Long Island City, NY 11101
3336	Rochester CF, 55 Greig St., Rochester, NY 14608
3337	Sing Sing CF, 354 Hunter St., Ossining, NY 10562
3338	Sullivan CF, P.O. Box AG, Fallsburg, NY 12733
3339	Summit CF, RFD, Summit, NY 12175
3340	Taconic CF, 250 Haris Rd., Bedford Hills, NY 10507
3341	Walkill CF, Walkill, NY 12589
3342	Washington CF, Comstock, NY 12821-0180
3343	Watertown CF, Dry Hill, Watertown, NY 13601
3344	Wende CF, P.O. Box 187, Alden, NY 14004
3345	Woodbourne CF, Woodbourne, NY 12788
3346	Wyoming CF, P.O. Box 501, Attica, NY 14011
3347	Camp Beacon, P.O. Box 307, Beacon, NY 12508
3348	Camp Gambriels, P.O. Box 100, Gambriels, NY 12939
3349	Camp Georgetown, Georgetown, NY 13072
3350	Camp Pharsalia, South Plymouth, NY 13844
3351	Central New York PC, P.O. Box 300, Marcy, NY 13403
	North Carolina
3400	Dept. of Correction, 831 W. Morgan St., Raleigh, NC 27603
	North Dakota
3500	Director of Institutions, State Capitol, Bismarck, ND 58505
3501	State Penitentiary, Box 1497, Bismarck, ND 58502
	Ohio
3600	Dept. of Rehabilitation and Correction, 1050 Freeway Dr., N., Columbus, OH 43229
3601	Chillicothe CI, P.O. Box 5500, Chillicothe, OH 45601
3602	Ross CI, P.O. Box 7010, Chillicothe, OH 45601
3603	Northeast Pre-release Ctr., 2675 E. 30th St., Cleveland, OH 44115
3604	Franklin Pre-release Ctr., P.O. Box 23651, Columbus, OH 43223
3605	Orient CI, P.O. Box 511, Columbus, OH 43216
3606	Dayton CI, P.O. Box 17249, Dayton, OH 45417
3607	Grafton CI, 2500 S. Avon Belden Rd., Grafton, OH 44044
3608	Southeastern CI, P.O. Box 200 Lancaster, OH 43130
3609	Lebanon CI, P.O. Box 56, Lebanon, OH 46036
3610	Warren CI, State Rt. 63, Lebanon, OH 45036
3611	Allen CI, P.O. Box 4501, Lima, OH 44802
3612	Lima CI, P.O. Box 4571, Lima, OH 44802
3613	London CI, P.O. Box 69, London, OH 43130
3614	Madison CC, P.O. Box 740, London, OH 43140
3615	Southern Ohio CF, P.O. Box 45699, Lucasville, OH 45699
3616	Ohio State Reformatory, P.O. Box 788, Mansfield, OH 44901
3617	Marion CI, P.O. Box 57, Marion, OH 43302
3618	Ohio Reformatory for Women, 1479 Collins Ave., Marysville, OH 43040
3619	Hocking CF, P.O. Box 59, Orient, OH 43416
3620	Correction Reception Ctr., P.O. Box 300, Orient, OH 43416
3621	Pickaway CI, P.O. Box 209, Orient, OH 43146
	Oklahoma
3700	Dept. of Corrections, P.O. Box 11400, Oklahoma City, OK 73176
	Oregon
3800	Corrections Div., 2575 Center St., N.E., Salem, OR 97310
	Pennsylvania
3900	Dept. of Corrections, P.O. Box 598, Camp Hill, PA 17011

D. Development and Documentation (Cont.)

5. FACILITY CODES AND ADDRESSES (Cont.)

Code	Address
3901	Camp Hill CI, P.O. Box 200, Camp Hill, PA 17011
3902	Cresson CI, Drawer A, Cresson, PA 16630
3903	Dallas CI, Drawer K, Dallas, PA 18612
3904	Frackville CI, 1111 Altamont Blvd., Frackville, PA 17931
3905	Graterford CI, Box 246, Rt. 29, Graterford, PA 19426
3906	Greensburg CI, RD 10, Box 10, Rt. 119 South, Greensburg, PA 15601
3907	Huntingdon CI, Drawer R, Huntingdon, PA 16652
3908	Mercer Regional CF, 801 Butler Pike, Mercer, PA 16137
3909	Muncy CI, P.O. Box 180, Muncy, PA 17756
3910	Pittsburgh CI, P.O. Box 99901, Pittsburgh, PA 15233
3911	Retreat CI, RD 3, Box 500, Rt. 11, Hunlock Creek, PA 18621-0197
3912	Rockview CI, Box A, Rt. 26, Bellefonte, PA 16823
3913	Waynesburgh CI, RD 1, Box 67, Rt. 188, Waynesburgh, PA 15370
3914	Smithfield CI, P.O. Box 999, Huntingdon, PA 16652
	Puerto Rico
4000	Adminstration of Corrections, G.P.O. Box 71308, San Juan, PR 00936
	Rhode Island
4100	Dept. of Corrections, P.O. Box 8273, Cranston, RI 02920
	South Carolina
4200	Dept. of Corrections, P.O. Box 21787, Columbia, SC 29221
	South Dakota
4300	Board of Charities and Corrections, 523 E. Capitol St., Pierre, SD 57501
4301	State Penitentiary, P.O. Box 911, Sioux Falls, SD 57117-0911
4302	Springfield CF, P.O. Box 322, Springfield, SD 57062
4303	Trustee Detachment, P.O. Box 76, Yankton, SD 57078
4304	Correctional Treatment Unit, P.O. Box 76, Yankton, SD 57078
4305	West Farm, P.O. Box 911, Sioux Falls, SD 57117-0911
	Tennessee
4400	Dept. of Corrections, Rachel Jackson Bldg., 4th Fl., 320 6th Ave. N., Nashville, TN 37219-5252
4401	Brushy Mountain State Penitentiary, P.O. Box 1000 [illegible]tros, TN 37845
4402	Carter County Work Camp, Roan Mountain, TN [illegible]27
4403	Chattanooga Community Service Ctr. (CSC) [illegible]. Hickory St., Chattanooga, TN 37404
4404	DeBerry CI, 3250 Ezell Pike, [illegible]ville TN 37210-5257
4405	Fort Pillow Prison and Farm, [illegible] 2, [illegible]enning, TN 38041
4406	Knoxville CSC., 3735 Riverside D[illegible], Knoxville, TN 37914
4407	Lake County Regional CF, Rt. 1, Box 330, Tiptonville, TN 38079
4408	Mark H. Luttrell Reception Ctr., 6000 State Rd., Memphis, TN 38134
4409	Middle Tennessee Max. Security Facility, 7115 Cockrill Bend Industrial Rd., Nashville, TN 37209
4410	Middle Tennessee Reception Ctr., 7177 Cockrill Bend Industrial Rd., Nashville, TN 37219-5258
4411	Morgan County Regional CF, P.O. Box 2000, Wartburg, TN 37887
4412	Nashville CSC, 7466 Centennial Place Extended, Nashville, TN 37219
4413	Southeastern Tennessee Regional CF, Rt. 4, Box 600, Pikeville, TN 37367
4414	Tennessee Prison for Women, 3881 Stewarts Ln., Nashville, TN 37219
4415	State Pententiary, Sta. A, Nashville, TN 37219-5255
4416	Turney Ctr. Industrial Prison and Farm, Rt. 1, Only, Tn 37140
4417	Wayne County Work Camp, P.O. Box 182, Clifton, TN 38425
	Texas

D. Development and Documentation (Cont.)

5. FACILITY CODES AND ADDRESSES (Cont.)

Code	Address
4500	Dept. of Corrections, P.O. Box 99, Huntsville, TX 77340
	Utah
4600	Dept. of Corrections, 6605 S. 300 E., Salt Lake City, UT 84107
4601	Utah State Prison, Draper, UT 84020
4602	Iron County CF, Cedar City, UT 84720
	Vermont
4700	Dept. of Corrections, 103 S. Main St., Waterbury, VT 05676
	Virgin Islands
4800	Bureau of Corrections, P.O. Box 5107, Charlotte Amalie, St. Thomas, VI 00802
	Virginia
4900	Dept. of Corrections, P.O. Box 26963, Richmond, VA 23261
4901	Bland CC, Rt. 2, Biand, VA 24315-9616
4902	Marion Corr. Treatment Ctr., P.O. Box 1027, Marion, VA 24354-1027
4903	Nottoway CC, P.O. Box 488, Nottoway, VA 23922
4904	Pulaski Correctional Unit (CU), P.O. Box 1188, Dublin, VA 24084
4905	Rustburgh CU, P.O. Box 340, Rustburgh, VA 24588
4906	Chatham CU, Rt. 6, Box 50, Chatham, VA 24531
4907	Wise CU, Drawer E, Coeburn, VA 24230-0150
4908	Smith Mountain Lake CU, Moneta, VA 24121
4909	Botetourt CU, Troutville, VA 24175
4910	Patrick Henry CU, Rt. 2, Box 1090, Ridgeway, VA 24148
4911	Appalacian CU, Honaker, VA 24260
4912	Tazewell CU, Rt. 3, Box 472, Tazewell, VA 24611
4913	Augusta CC, P.O. Box 1000, Craigsville, VA 24430
4914	Buckingham CC, P.O. Box 430, Dillwyn, VA 23936
4915	Staunton CC, P.O. Box 3500, Staunton, VA 24401
4916	Caronline CU, Rt. 1, Box 100, Hanover, VA 23069
4917	White Post CU, P.O. Box 160, White Post, VA 22663
4918	Harrisonburg CU, Rt. 2, Harrisonburg, VA 22801
4919	Greenville CU, Rt. 1, Box 73, Greenville, VA 24440
4920	Culpeper CU, 1845 Orange Rd., Culpeper, VA 22701
4921	Fluvanna CU, Box 73, Troy, VA 22974
4922	Haynesville CI, Haynesville, VA 22472
4923	Stafford CU, Rt. 4, Box 191, Stafford, VA 22554
4924	Haymarket CU, Haymarket, VA 22069
4925	Fairfax CU, P.O. Box 487, Fairfax, VA 22030
4926	Virginia CC for Women, P.O. Box 1, Goochland, VA 23063
4927	Chesterfield Work Release Unit, P.O. Box 200, Chesterfield, VA 23832
4928	Powhatan CC, State Farm, VA 23160
4929	Virginia State Penitentiary, 500 Spring St., Richmond, VA 23219
4930	James River CC, State Farm, VA 23160
4931	Mecklenburg CC, P.O. Box 500, Boydton, VA 23917
4932	Baskerville CU, Baskerville, VA 23915
4933	Pocahontas CU, 6900 Courthouse Rd., Chesterfield, VA 23832
4934	Halifax CU, Box 868, Halifax, VA 24558
4935	St. Brides CC, 701 Sanderson Rd., Chesapeake, VA 23322
4936	Southampton CC, Capron, VA 23829
4937	Brunswick CC, P.O. Box 207C, Lawrenceville, VA 23868
4938	Deerfield CC, Rt. 1, Box 22, Capron, VA 23829
4939	Nansemond CU, Walters, VA 23481
4940	New Kent CU, Star Rt., Box 80A, Barhamsville, VA 23011
4941	Capron CU, Capron, VA 23829
4942	Tidewater CU, 201 Greenbrier Rd., Chesapeake, VA 23320
4943	Dinwiddie CU, Church Road, VA 23833

D. Development and Documentation (Cont.)

5. FACILITY CODES AND ADDRESSES (Cont.)

Code	*Address*
	Washington
5000	Dept. of Corrections, P.O. Box 9699-FN-61, Olympia, WA 98504
	West Virginia
5100	Dept. of Corrections, Bldg. 4, Rm. 300 , State Capitol Complex, 112 California Ave., Charleston, WV 25305
	Wisconsin
5200	Div. of Corrections, P.O. Box 7925, Madison, WI 53707
5201	Black River CC, Rt. 5, Box 433C, Black River Falls, WI 54615
5202	Thompson CC, 434 State Farm Rd., Deerfield, WI 53531-9562
5203	Fox Lake CI/Farm, P.O. Box 147, Fox Lake, WI 53933-0147
5204	Green Bay CI, P.O. Box 19033, Green Bay, WI 54307-9033
5205	Gordon CC, P.O. Box 13, Gordon, WI 54838-9799
5206	Flambeau CC, Rt. 1, Hawkins, WI 54530-9701
5207	McNaughton CC, 8500 Rave Rd., Lake Tomahawk, WI 54539-2596
5208	Abode CC, 83 N. 16th St., Milwaukee, WI 53233-1796
5209	Marshall E. Sherrer CC, 1318 N. 14th St., Milwaukee, WI 53025-2596
5210	Milwaukee County Jail, 821 W. State St., Milwaukee, WI 53203
5211	St. Croix CC, P.O. Box 36, New Richmond, WI 54017-0036
5212	St. John's cC, 845 Van Buren, Milwaukee, WI 53202-3996
5213	Women's CC, 525 N. 17th St., Milwaukee, WI 53233-2190
5214	Oneida Farm, 4212 County Line Rd., Oneida, WI 54155-9346
5215	Sanger B. Powers CC, 4101 County Line Rd., Oneida, WI 54155-9346
5216	Oregon CC/Farm, P.O. Box 25, Oregon, WI 53575
5217	Oakhill CI, P.O. Box 238, Oregon, WI 53575-0738
5218	Oshkosh CI, P.O. Box 3310, Oshkosh, WI 54903-3550
5219	Kettle Moraine CI, P.O. Box 31, Plymouth, WI 53073-0031
5220	Columbia CI, P.O. Box 900, Portage, WI 53901-0950
5221	Taycheedah CI, 971 County Highway K, Taycheedah, WI 54935-0644
5222	Dodge CI, P.O. Box 661, Waupun, WI 53963-0661
5223	Minimum Security Bunkhouse, P.O. Box 644, Waupun, WI 53963-0644
5224	Waupun CC, P.O. Box 351, Waupun, WI 53963-0351
5225	Drug Abuse CC, P.O. Box 36, Winnebago, WI 54985-0036
5226	Winnebago CC, P.O. Box 128, Winnebago, WI 54985-0128
5227	Winnebago Farm, P.O. Box 117, Winnebago, WI 54985-0117
	Wyoming
5300	Board of Charities and Reform, Herschler Bldg., 1st Fl., Cheyenne, WY 82002-0800
5301	Wyoming Women's Ctr., P.O. Box WWC-20, Lusk, WY 82225
5302	Wyoming Honor Farm, P.O. Box 32, Riverton, WY 82501
5303	Wyoming Boys School, 1550 Highway 20 South, Rt. 2, Worland, WY 82401
5304	Wyoming State Hospital, P.O. Box 177, Evanston, WY 82930
5305	Wyoming State Penitentiary, P.O. Box 400, Rawlins, WY 82301

02310.062 State Wage Record Interface

Citation

Section 1137 of the Social Security Act

A. Introduction

The Deficit Reduction Act of 1984 requires States to collect quarterly wage reports on employees within the State who are covered by the State's unemployment compensation program. The law also authorizes SSA to obtain and use these wage data in the administration of the SSI and title II programs. Following a series of pilot interfaces with several States, SSA decided to implement a national matching program with State wage records.

B. Description of Match

1. GENERAL

SSA is implementing the matching program with State wage files in several phases. This phased approach enables SSA to decide on how best to handle the systems aspects of matching and how frequently to run the matches before all States are brought into the program. Regional offices (RO's) will notify their field offices as a State match is implemented.

In certain States, the State will match records by using the SDX files provided by SSA. Instructions regarding these alerts will be in regional POMS issuances. In other States, the State file of wage records is matched against the SSR. This match process is referred to as the National match.

2. FREQUENCY OF MATCHING

Initially, records from some States are matched quarterly while others are matched semiannually. An evaluation of the results will determine how frequently to match the data on an ongoing basis.

3. ALERTS GENERATED

a. **The national match generates an alert** each time a State wage record is detected for a recipient, deemor, or essential person and the amount of the wages on the State record **exceeds the total wage amount on the SSR** for the three months in the quarter **by at least $250.**

b. **SSA may adjust the tolerance** following the evaluation of the initial phases of the interface.

c. The alert is suppressed if:

- The SSR contains a recent K6 or K7 diary;
- The wages are for a period prior to eligibility; or
- The SSR contains a payment status other than CO1 or MO1.

d. RO program staff will obtain replacements for alerts which are lost or not received.

e. Initially, an SSA diary will not control the alerts. However, FO's will receive a control list. An S2 diary on the SSR will control alerts generated after May 1989.

f. Refer to regional POMS instruction for information on alerts generated by the SDX matches.

4. DESCRIPTION OF ALERTS

a. **An example of the alert** generated by the national match is in SI 02310.999 H. Refer to regional POMS regarding SDX match alerts.

b. The first line of the alert shows:

- A heading which reads **"STATE/SSR EARNED INCOME DISCREP";**
- The **run date;**
- The **number of employers** involved; and
- A two-digit **code to reflect the State** from which the wage data were received.

c. The second line of the alert shows:

- The **region code;**

B. Description of Match (Cont.)

4. DESCRIPTION OF ALERTS (Cont.)

- The **FO code;**
- The **housed-under number;**
- The recipient's **name;**
- **SSN** of the recipient;
- The wage earner's **name on the State record;** and
- The **type of person** (SM 01601.406)

d. The third line of the alert shows the **name(s) and addresses of the employer(s).**

e. The fourth line of the alert shows:

- The amount of wages reported to the State; and
- The quarter(s) for which the wages were reported to the State.

f. The alert will display wages from **up to 10 employers.**

g. The alert will display the wages each employer reports for **up to 4 quarters.** The first two positions of the "quarter" code indicate the calendar quarter of the wage report, as follows:

- 01 = January through March;
- 02 = April through June;
- 03 = July through September; and
- 04 = October through December.

The last two positions of the "quarter" code indicate the year.

C. References

1. GENERAL GUIDELINES ON STATE DATA INTERFACES	SI 02310.060
2. WAGE DEVELOPMENT	SI 00820.100 ff.
3. PERIOD OF REVIEW	SI 02310.005 C.1.
4. RELATED ISSUES	SI 02310.055 D.

D. Operating Policy

SSA coordinates State wage data interface development with scheduled FO redeterminations or other pending posteligibility activity (e.g., other interface alerts, continuing disability review, representative payee accounting).

E. Development and Documentation

1. GENERAL

The State wage data represent the amount received in the quarter. **Obtain a monthly amount** for SSI purposes if the wages constitute countable income.

2. LIMITED DEVELOPMENT

The criteria in SI 02310.055 C.2. regarding limited development of MEF earned income alerts **apply to State wage alerts** also.

3. FULL DEVELOPMENT

a. If the limited development criteria do not apply, full development is necessary.

b. **Contact the individual** according to the instructions in SI 02310.055 C.3.

4. TITLE II ISSUES

a. **If the wages are for an individual who also receives a title II disability benefit,** take action to determine if there is a need for a review of the work activity.

b. If the wages belong to a **recipient who does not receive a title II benefit,** determine whether the recipient is eligible for title II benefits.

E. Development and Documentation (Cont.)

5. WORK INCENTIVES

It is essential to use this opportunity to inform recipients about work incentives. While the wage match is an enforcement activity, do not overwhelm the recipient with the negative consequences. Provide positive feedback about work while emphasizing future reporting responsibilities and **advise blind/disabled individuals of the SSI work incentive provisions.** See SI 02302.001 ff.

6 .CLEARANCE PROCEDURES

Upon completion of the development of the State wage data, **transmit any earned income** to the SSR according to the instructions in SM 01305.101 ff.

02310.063 State/Federal Workers' Compensation (WC) Interface

Citations

Sections 1612, 1631(e)(1)(B) and 1631(f) of the Social Security Act

A. Introduction

The Social Security Administration **established an interface with WC records** of the Department of Labor (for Federal Employee WC payments) and State WC agencies. The interfaces are intended to identify individuals who have not reported or have incorrectly reported the receipt of WC payments.

NOTE: An evaluation of the WC/SSR interface will determine if continued matches are appropriate.

B. Description of Match

1. RECEIPT OF DATA

SSA receives WC information from the State or Department of Labor via a magnetic tape file.

2. MATCHING OPERATION

SSA **verifies the SSN's** on the WC records using SSA's Enumeration Verification System (EVS) and **matches the WC data for verified SSN's against the SSR** and MBR. Processing centers (PC's) will develop MBR matches. See DI 45501.335.

3. ALERTS PRODUCED

The match produces an alert (no diary will be established) for each SSI case in which the WC record reflects:

- a **current periodic payment** or a **lump sum payment;** and
- the **payment differs from the WC payment data** (type Q unearned income) **on the SSR.**

C. Description of Alert

1. ALERT FORMAT

The **alert consists of a Supplemental Security Income Record Display (SSIRD) and an extract of the WC record.** See SI 02310.999.1. for a sample of the WC alert. Since the same format applies to title II, some of the data will not be used for SSI cases.

2. ALERT CONTENTS

The **heading on the alert** indicates the source of the WC data by showing a two-letter State abbreviation or **"FECA"** (Federal Employees Compensation Act) for DOL records. The housed-under number of the SSI record appears in the first position of the second line on the alert. The following **describes the contents** of the remaining fields on the alert.

a. **Alert code:** This field will show one of the following codes:

G - The WC record indicates that **periodic WC payments are being made.**

H - No periodic payments are being made; however, a **lump sum payment was issued** which does not correspond to the type Q unearned income posting for the same month.

NOTE: If any other code appears, notify your RO program staff,

b. **TOE and PC:** These codes are for title II use only.

c. **DOC:** The three-digit code for the servicing FO.

C. Description of Alert (Cont.)

2. ALERT CONTENTS (Cont.)

d. **EVS:** This field reflects the results of the EVS process. The possible codes are:

- **Blank** - SSN verififed on name and, if provided, DOB and/or sex code;
- **1** - SSN not in SSA's enumeration file. (If this code appears, report the case to your RO program staff who will advise central office);
- **2** - Name and DOB match; sex code does not match;
- **3** - Name and sex code match; DOB does not match;
- **4** - Name matches; DOB and sex codes do not match;
- **5** - Name does not match; DOB and sex code not checked; and
- ***** - SSN on WC record not verified; SSA located and verified a different SSN.

e. **MULT:** A single-letter code which indicates whether multiple SSN's were located during the EVS process. The codes are:

- **Y** - Yes; and
- **N** - No.

f. **Name:** The matched individual's last name (up to 19 letters), first name (up to 10 letters), and middle initial.

g. **DOB:** The matched individual's date of birth (month, day, and year).

h. **SSN:** The matched individual's SSN.

i. **CLN:** The individual's WC claim number.

j. **DOI:** The most recent date of injury (month, day, and year).

k. **CLSTAT:** A single-digit code which indicates the status of the individual's WC claim. The possible codes are:

- **1** - The individual is currently entitled to periodic WC payments;
- **2** - WC claim is pending;
- **3** - WC claim denied; appeal pending;
- **4** - Lump sum awarded (this value will be entered for lump sums awarded in the last 3 years and no periodic payments are being made); and
- **5** - Periodic WC payments stopped; permanent rating for lump sum settlement award pending.

l. **AWD Basis:** A single-digit code which indicates the basis for the most recent WC award. The codes are for title II purposes.

m. **WC AMT:** This field shows the amount of the weekly WC payment, displayed in dollars and cents. The field will be blank if the individual is not receiving a periodic payment.

n. **BEG DT:** The effective beginning date of the payment amount shown in the WC AMT field. This is **not necessarily the date the first payment was received.**

o. **END DT:** Projected ending date of the amount shown in the WC AMT field.

p. **L/M EXP:** The amount of legal and/or medical expenses included in the WC AMT. See SI 00830.170 regarding treatment of legal/medical expenses.

q. **ISSUDT:** The date the most recent periodic payment issued as shown on the WC record at the time the State/DOL extract tape was produced.

NOTE: The next six fields pertain to lump sum payments issued within the last 3 years. These fields will be blank if no lump sum payment issued.

r. **LS SET DT:** Date of the most recent lump sum settlement. This is **not necessarily the payment date.**

s. **LS AMT:** Amount of the most recent lump sum payment.

C. Description of Alert (Cont.)

2. ALERT CONTENTS (Cont.)

t. **LS No. of WKS and LS BEG DT:** These fields are used only for title II purposes.

u. **LS L/M EXP:** Amount of legal and/or medical expenses included in the LS AMT. See SI 00830.170 regarding the treatment of legal/medical expenses.

v. **LS ISSUDT:** Date the most recent lump sum payment issued.

w. **WC Prior Periodic History:** This field will display the periodic payment history. For each payment period (up to 8), the field will display:

- The beginning and ending dates of the payment period;
- the amount of the periodic payment;
- a code which indicates the frequency of the payment according to the following chart:

Code	*Frequency*
1	Weekly
2	Every 2 weeks
3	Twice monthly
4	Monthly
5	Every 28 days

- the amount of any legal/medical expenses included in the payment amount.

x. **LS HIST:** This field will display data pertaining to up to 3 lump sum payments made within the last 3 years. The data shown include:

- the settlement date;
- the amount of the payment;
- the weekly rate, the number of weeks covered by the payment, and the beginning date of the period covered by the payment (used for title II purposes only); and
- the amount of any legal/medical expenses included in the payment.

This field does not provide issue date of the check.

D. Operating Policies

1. COORDINATE WITH REDETERMINATIONS

Coordinate WC alert development with scheduled FO redeterminations or other pending posteligibility activity (e.g., other interface alerts, continuing disability review, representative payee accounting).

2. CONCURRENT CASES

In concurrent cases, the match will produce two alerts; one for the PC and one for the FO. **Title II alerts are developed** by the processing center with little or no FO involvement. **Title XVI alerts are developed** by the FO according to instructions below.

However, **if the PC cannot resolve the alert** on its own, a development request is made to the FO for verification of the WC award. Therefore, when developing concurrent cases, determine if a development request is pending and process the alert and PC request for information simultaneously. In all other concurrent cases which require recipient contact, request verification of the WC award (usually a copy of the award letter) in anticipation of a future PC request. This will avoid duplicate recipient contacts.

E. Development and Documentation

1. COMPARE ALERT AND SSIRD

a. **Compare the current and historical WC payments** shown on the alert with the unearned income history on the SSIRD.

b. See SI 00830.170 to determine the amount of the WC payment which is considered income, **if medical or legal expenses** are included in the WC payment (as shown on alert).

E. Development and Documentation (Cont.)

1. COMPARE ALERT AND SSIRD (Cont.)

c. **Take no further action** if the:

- **WC payments are properly posted** to the SSR, or
- WC payments belong to a **deemor who also receives a public income maintenance payment** as described in SI 00810.200 and SI 01320.110.

2. CONTACT INDIVIDUAL

a. **Contact the individual** (or representative payee) to discuss the WC data.

b. **Determine if the recipient/deemor** actually receives (or received) a WC payment.

3. INDIVIDUAL AGREES WITH WC DATA

a. **Request the individual to submit evidence** to establish the date of the first periodic payment or the date of receipt of the lump sum payment. (See SI 00830.170).

b. Contact DOL, the State WC agency, or the WC carrier as described in 5., below. **if the individual does not have sufficient evidence.**

4. INDIVIDUAL DISAGREES WITH WC DATA

a. **Individual Denies Receipt of WC Payment**

- Contact the Federal or State agency or WC carrier, (if known) handling the recipient's WC payments to resolve the discrepancy per SI 00830.170 and 5., below.
- Do not develop further if the response from DOL, the WC agency or the insurance carrier indicates that the payments do not belong to the individual.

b. **Individual Disagrees with Amount of WC**

- Ask the individual if he/she can **provide evidence** of the correct amount of WC per SI 00830.170.
- **Determine the countable WC amount** and correct the SSR as necessary per 6. below, if the individual provides sufficient evidence.
- **Contact** the Federal or State agency or insurance company according to 5., below, if the recipient **cannot provide evidence** of the correct amount of WC.

5. REQUESTING EVIDENCE

a. **General**

- Contact DOL, the State WC agency, or the WC carrier, as appropriate, using a form SSA-1709-U4 to obtain the necessary information. See DI 11045.185 and DI 11095.105.
- Request the agency/carrier to furnish the date of the first WC payment as well as the address to which the payments are/were sent.

b. **DOL Payments**

Contact the servicing DOL District Office via an SSA-562-U3 to the parallel FO according to 7., below.

c. **State Records**

Contact the State Workers' Compensation Office which is listed in the phone directory.

d. **Private Insurance Carrier**

- Contact the recipient's last employer to identify the carrier, if the WC carrier is unknown.
- Contact the local State or Federal Worker's Compensation Office to identify the carrier, if this is unsuccessful.

6. CLEARANCE PROCEDURES

a. **Transmit** any chargeable WC payments to the SSR per SM 01305.200 ff.

b. **Correct the posting** to prevent future alerts, if the WC payment coding is incorrect coded on the SSR (e.g., coded as type P or S income), correct the posting to prevent future alerts.

E. Development and Documentation (Cont.)

7. DOL DISTRICT OFFICE JURISDICTION

Jurisdiction	*DOL District Office*	*Parallel FO*
Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont	Room 1800 John F. Kennedy Federal Bldg. Boston, MA 02203	030
New Jersey, New York	Room 750 201 Varick St. P.O. Box 566 New York, NY 10014-0566	109
Delaware, Pennsylvania West Virginia	Room 15100 Gateway Bldg. 3535 Market St. Philadelphia, PA 19104	230
District of Columbia, Maryland, Virginia	Room 9101 1100 L St., NW. Washington, D.C. 20211	270
Indiana, Michigan, Ohio	Room 851 1240 E. Ninth St. Cleveland, OH 44199	388
Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee	Room 223 311 N. Monroe Jacksonville, FL 32202	655
Illinois, Minnesota, Wisconsin	230 S. Dearborn 8th Floor Chicago, IL 60604	485
Iowa, Kansas, Missouri, Nebraska	1910 Federal Office Bldg. 911 Walnut St. Kansas City, MO 64106	746
Arkansas, Louisiana, New Mexico, Oklahoma, Texas	Room 100 525 Griffin St. Dallas, TX 75202	814
Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming	1961 Stout St. Drawer 3558 Denver, CO 80294	882
Arizona, California, Hawaii, Nevada	71 Stevenson St. 2nd Floor P.O. Box 3769 San Francisco, CA 94105	950
Alaska, Idaho, Oregon, Washington	4010 Federal Office Bldg. 909 First Ave. Seattle, WA 98174	D97

EXHIBITS

02310.999 Exhibits

Exhibit A - Savings Bond Alert

Exhibit B - VA Extract and Legend

Exhibit C - RRB Extract and Legend

Exhibit D - Form SSA-3570 (RRB/ Interface RRB Payment History)

Exhibit E - DOD Extract and Legend

Exhibit F - Form SSA-2495 (Authorization to Disclose Internal Revenue Service Information)

Exhibit G - SSR Prison Inmate Alert

Exhibit H - State Wage Alert

Exhibit I - WC Interface Alert

A. Savings Bond Alert

SSI/SAVINGS BOND INTERFACE

HUN: 999-99-9999 **TOTAL REDEMPTION VALUE:** $$$$$$.cc **RE21:** Y

SSN: 999-99-9999 MFT: DI NAME: Jones, John

Denom.	Serial No.	Issue Dt.	Value	Ret. Dt.	FRB	Tran Dt.	Batch	R/C Batch	R/C Detail
99	9999999999	YY/MM	$$$$$$.cc	YY/MM	XX	MM/YY	99999	9999	9999
99	0000000000	YY/MM	$$$$$$.cc	YY/MM	XX	MM/YY	99999	9999	9999

****

SSN: 987-65-4321 MFT: DX NAME: Jones, Mary

Denom.	Serial No.	Issue Dt.	Value	Ret. Dt.	FRB	Tran Dt.	Batch	R/C Batch	R/C Detail
99	9999999999	YY/MM	$$$$$$.cc	YY/MM	XX	MM/YY	99999	9999	9999
99	0000000000	YY/MM	$$$$$$.cc	YY/MM	XX	MM/YY	99999	9999	9999

VA RECORD FORMAT - Payment Run of MMDDYY

HUN[1] 000000000 SSR PERSON TYPE[2] -
VA[3] -
VACN[4] 000000000 VET SSN[5] 000000000 PYSSN[6] 000000000 PYN[7] 00 RCD TYPE[8] 0 VET SEX[9] 0
PY NAME[10] 0 0 00000 VET DOB[11] MMYY PA DOB[12] MMYY MA DOB[13] MMYY WID DOB[14] MMYY CHLD DOB[15] YYMMDD
PERCENT DISAB[16] 000 ENTCDE[17] 00 PASTAT[18] 0 CHGREAS[19] 00 SUSREAS[20] 00 SUSDATE[21] YYMMDD
NET AWD AMT[22] $$$$¢¢ NET AWD DATE[23] YYMMDD CK AMT[24] $$$$¢¢ AIDIND[25] 0 UM CHARGE AMT[26] $$$$¢¢
PY ERND INCM[27] $$$$ PY SS AMT[28] $$$$ PY RET INCM TYPE[29] 0 PY RET INCM[30] $$$$
PY OTH INCM[31] $$$$ A&A HB AMT[32] $$$¢¢ SPO SS AMT[33] $$$$$ SPO RET INCM TYPE[34] 0
SPO RET INCM[35] $$$$ SPO OTH INCM[36] $$$$ DEPEND CODE[37] 00 JURIS CODE[38] 00 DED TYPE 1[39] 00 DED AMT 1[40] $$$$$¢¢
DED TYPE 2[41] 00 DED AMT 2[42] $$$$$¢¢ DED TYPE 3[43] 00 DED AMT 3[44] $$$$$¢¢ NO ADDR LINES[45] 0
ZIP CODE[46] 00000 ADDR[47]

Legend:

1.- housed under number
2.- type record - individual
3.- reject reason
4 - VA claim number
5 - veteran SSN
6 - entitled person SSN
7 - payee number
8 - record type
9 - veteran sex
10 - payee
11 - veteran birth date
12 - father birth date
13 - mother birth date
14 - widow birth date
15 - child birth date
16 - percent of veteran's disab'lity
17 - entitlement code
18 - pay status
19 - change reason
20 - suspense reason
21 - suspense date
22 - net award amount
23 - net award date
24 - check amount
25 - aid and attendance/housebound indicator
26 - amount of VA unearned income chargeable
27 - payee earned income
28 - payee social security amount
29 - payee retirement income type
30 - payee retirement income amount
31 - payee other income
32 - aid and attendance/housebound amount
33 - spouse social security amount
34 - spouse retirement income type
35 - spouse retirement income amount
36 - spouse other income amount
37 - dependency code
38 - jurisdiction code
39 - deduction type 1
40 - deduction amount 1
41 - deduction type 2
42 - deduction amount 2
43 - deduction type 3
44 - deduction amount 3
45 - number address lines
46 - ZIP code
47 - address

B. VA Extract and Legend (Cont.)

Payee Number - field 7:

00: veteran
10: spouse, widow, widower
11-19: direct payment to child
30: non-veteran authorized representative
31-39: consolidated award to children of veterans--representative payee
50-60: apportionment for disability
70-78: death service connected payments to widow(er), children, or parents
99: institutional award to veteran--usually refers to cigarette money
See SM 02002.030 for discussion of payee number.

Record Type - field 8:

A - veteran
B - primary survivor
C - live auxiliary
E - terminated
F - death auxiliary

Entitlement Code - field 17:

a. In all VA payee code situations, convert the following VA entitlement codes to UM type C: 11, 21, 31, 41, 51, 61, 71, 43, 91, 93, 0E, 05, 1B, 4B, 5B, 6B, 5K, 52, 62, 56, 66, 86, 8H, 4F, 5F, 6F, 8F, 06, 95, 96, 29, 39, 49, 79.

b. In other than VA payee code 50 and 60 situations, convert the following VA entitlement codes to UM type C: 17, 27, 37, 47, 57, 67, 77, 87, 97, 69, 99, 15, 25, 35, 45, 55, 65, 75.

c. In all VA payee code situations, convert the following VA entitlement codes to UM type E: 14, 24, 34, 54, 64, 74, 12, 22, 32, 18, 28, 38, 58, 68, 78, 16, 26, 36, 1D, 2D, 3D, 4D, 5D, 6D, 7D, 8D, 9D, 1L, 2L, 3L, 4L, 5L, 6L, 7L, 8L, 9L.

d. In VA payee code 50 and 60 situations, convert the following VA entitlement codes to UM type C: 17, 27, 37, 47, 57, 67, 77, 87, 97, 69, 99, 15, 25, 35, 45, 55, 65, 75.

Payment Status - field 18:

G - active
Other than G - suspended, except for codes B and C with VA payee number 90, 91, 92, 95, 97, or 99. The exceptions are active cases paid at a reduced (50 percent) rate by the VA.

Change Reason - field 19:

00 initial VA award

C. RRB Extract and Legend

Railroad Record Format:

The following explains the railroad record format. The fields which you will need to reference have been blocked.

RRB RECORD FORMAT - PAYMENT RUN OF MM/DD/YY[1]

HUN[2]000000000 SSR PERSON TYPE [3]

BENE NAME [4] RRB ID 000000000[5] RR BIC [6]

BENE SSN 000000000[7] RRB DOB MMDDYY COB OO EMP SSN 000000000

RR STATUS O PR RATE $$$.¢¢[8] SMIB DED $$.¢¢[9] SUPP ANNU AMT $$.¢¢[10]

CAN 000000000 BIC OO SS DOB MMDDYY SS STATUS SS AMT $$$.¢¢

RCD NO O BENE TYPE OO TERM/SUSP CODE OO TERM/SUSP DATE MMYY

TOT RATE $$$.¢¢ PREV TOT RATE $$$.¢¢ PREV RATE $$$.¢¢

RR ACCR AMT .00 SUPP ACCR AMT .00 SS ACCR AMT .00

ACCT DATE MMDDYY CUR AWD O PART AWD O POL CODE O

ZIP CODE 00000 ADDR [ ]

LEGEND:

1- Date of RRB Run

2- Housed-under number

3- Type record: Individual, Eligible Spouse, Ineligible Spouse or Parent 1, Parent 2 (points to the person on the SSIRD for Analysis; not identifying information)

4- Beneficiary

5- RRB Claim Number

6- RRB BIC: RA, RH-railroad employee; MA, MH-spouse; WA, WD, WH-widow(er); JA-joint award or dual entitlement; PA, PD, PH-parent; CA, CD, CH-consolidated family award

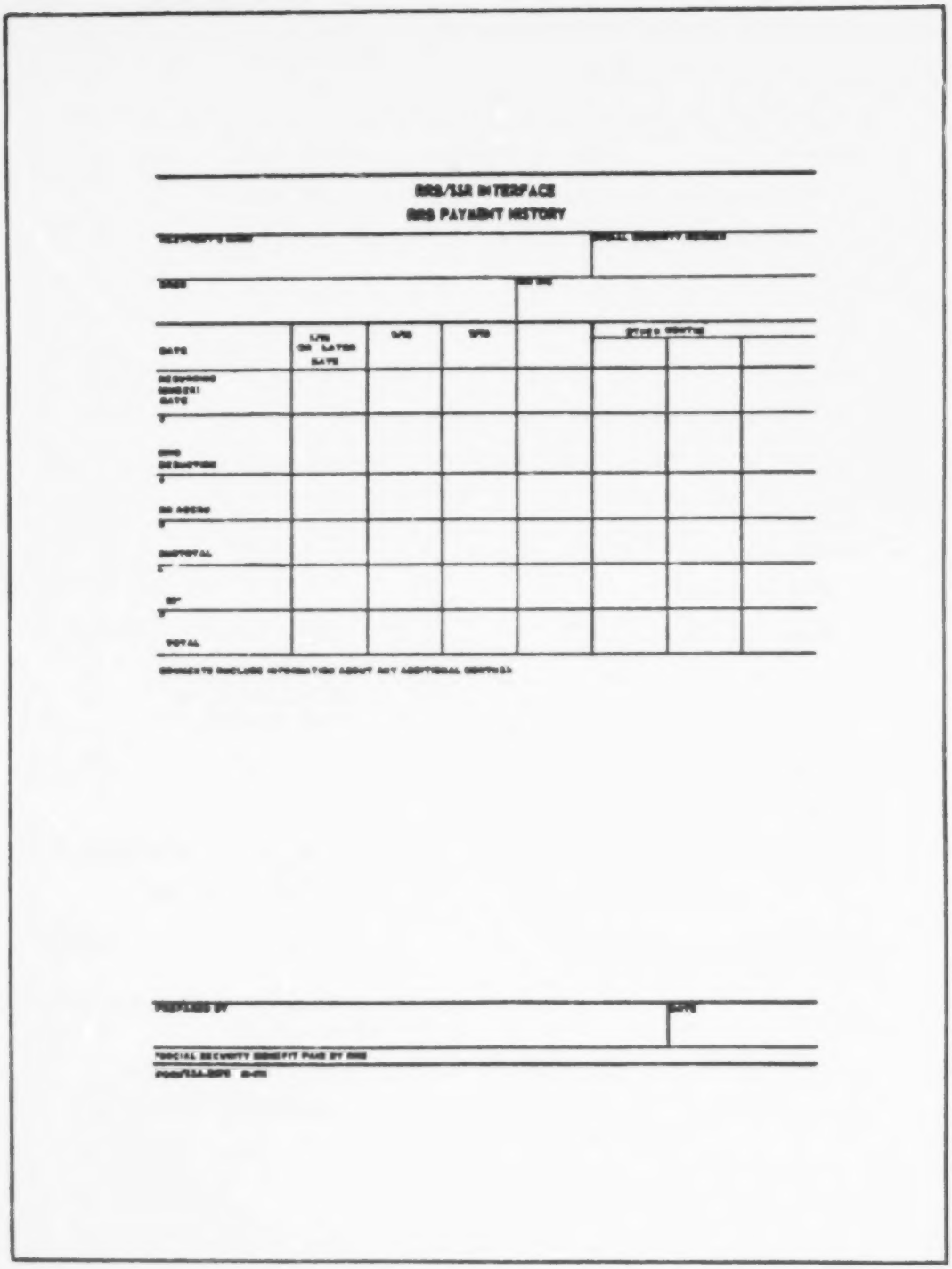
7- Beneficiary's SSN

8- RR Rate: This is the basic railroad benefit which the recipient is entitled to after SMIB is deducted.

9- SMIB Ded: This is the amount of the SMIB premium being deducted.

10- Supp Annu Amt: This is an extra RR benefit which a small number of RR beneficiaries receive.

D. Form SSA-3570 (RRB/SSR Interface RRB Payment History)



RRB/SSR INTERFACE
RRB PAYMENT HISTORY

DOD/SSI INTERFACE - DOD EXTRACT

RUN DATE: 99/99/99

HUN: 999-99-9999 SSN: 999-99-9999 PERTYP: 9 NAME: XXXXXXXXXXXXXXXXXXXXXXXXXXX

TYPE OF BENEFICIARY: XXXXXXX SBP BENEFIT TYPE: 9

DATE OF BIRTH RETIREE: 99/99/9999 YR OF BIRTH SURVIVING SPOUSE: 9999 YR OF BIRTH YOUNGEST CHILD: 9999

BENEFICIARY ADDRESS: XXXXXXXXXXXXXXXXXXXX
XXXXXXXXXXXXXXXXXXXX
XXXXXXXXXXXXXXXXXXXX

ZIP CODE: 99999

MILITARY CLAIM NUMBER: 999999999 MILITARY SERVICE BRANCH: X FAN: XX

DATE OF ENTITLEMENT: 99/99/9999

RETIREE CURRENT PAY STATUS: X

MONTHLY COUNTABLE PENSION: $9999.99

DATE TERMINATED/SUSPENDED: 99/99/99

REASON TERMINATED/SUSPENDED: X

E. DOD Extract and Legend (Cont.)

DOD EXTRACT LEGEND

Data Element	Source	Definition	Possible Entries
Run Date	DOD	Effective date of extract	MMDDYY
HUN	SSR	Housed Under Number	
SSN	SSR	Military Beneficiary's SSN	
PER TYPE	SSR	Military Beneficiary's SSI Person Type	
NAME	DOD	Military Beneficiary's Name	
Type of Beneficiary	DOD	Type of Military Benefit Received	Retiree, RSFPP, SBP
SBP Benefit Type	DOD	Type of SBP Benefit Received (if applicable)	1 = Spouse Only 2 = Child Only 3 = Spouse and Child 4 = Insurable Interest 5 = Death on Active Duty 6 = Minimum Income Widow
Date of Birth Retiree	DOD	Retiree's Date of Birth	MM/DD/YYYY
Year of Birth Surviving Spouse	DOD	Surviving Spouse's Year of Birth	YYYY
Year of Birth Youngest Child	DOD	Youngest Child's Year of Birth	YYYY
Beneficiary Address	DOD	Beneficiary's Address	
Zip Code	DOD	Beneficiary's Zip Code	
Military Claim Number	DOD	Beneficiary's Military Claim Number (suffixes not included)	
Military Service Branch	DOD	Military Retiree's Branch of Service	A = Army N = Navy F = Air Force M = Marines
FAN	DOD	DOD FAN Code (For DOD Internal Purposes)	
Date of Entitlement	DOD	Beneficiary Date of Entitlement to Military Benefits	MM/DD/YYYY

E. DOD Extract and Legend (Cont.)

2

Retiree Current Pay Status	DOD	DOD Retiree Pay Status	1 = Receiving Retired Pay 2 = Currently entitled to retired pay but not being paid (no survivor remittance required) 3 = Currently entitled to retired pay but not being paid (required survivor remittance) 4 = Terminated from entitlement during last reporting period
Monthly Countable Income	DOD	Countable Military Pension for Month of Run (equals "taxable income")	$$$$cc
Date Terminated/ Suspended	DOD	Last month military benefits paid	MM/DD/YY
Reason Terminated/ Suspended	DOD	Reason for termination of military benefits	For retirees: 1 = Death 2 = Disability terminated - return to active duty 3 = Disability terminated - no return to active duty 4 = Return to active duty (non-disability) 5 = VA Waiver 6 = Receiving Civil Service annuity in lieu of retired pay 7 = Refused retired pay 8 = Other For RSFPP or SBP: 1 = Death 2 = Marriage 3 = Child past age limit 4 = Child no longer in school 5 = Medical recovery of disabled child 6 = Income over limit 7 = VA Compensation greater than annuity 8 = Other

F. Form SSA-2495 (Authorization to Disclose Internal Revenue Service Information

DEPARTMENT OF HEALTH AND HUMAN SERVICES
Social Security Administration

AUTHORIZATION TO DISCLOSE INTERNAL REVENUE SERVICE INFORMATION	IMPORTANT—PLEASE READ THE STATEMENT ON REVERSE BEFORE COMPLETING FORM
CLAIMANT/RECIPIENT'S NAME	SOCIAL SECURITY NUMBER
NAME OF PERSON MAKING STATEMENT	RELATIONSHIP

I, _____ (Name),

of _____ (Street Address),

_____ (City) _____ (State) _____ (Zip Code),

whose taxpayer identification number is _____ (Social Security Number)

authorize the Social Security Administration to disclose information in its possession from (check one or more of the following):

- ☐ The Internal Revenue Services' Information Returns Processing File
- ☐ Forms W-2P
- ☐ Reports of Wages and Net Earnings from self-employment

for the year _____ (Year) to _____ (Name(s)/Organization(s))

_____.

I know that anyone who makes or causes to be made a false statement or representation of material fact in an application or for use in determining a right to payment under the Social Security Act commits a crime punishable under Federal law and/or State law. I affirm that all information I have given in this document is true.

SIGNATURE OF PERSON MAKING STATEMENT

Your Signature *(First name, middle initial, last name) (Write in ink) (If you sign with an X, have two people witness below)*	Date (Month - Day - Year)
SIGN HERE ►	Telephone Number(s) at Which You Can be Reached (Include Area Code)

Your statement does not ordinarily have to be witnessed. If, however, you have signed by mark (X), two witnesses to the signing who know you must sign below, giving there full addresses.

1. Signature of Witness	1. Signature of Witness
Address *(Number and Street, City, State, ZIP Code)*	Address *(Number and Street, City, State, ZIP Code)*

Form SSA-2495 (9-87)

F. Form SSA-2495 (Authorization to Disclose Internal Revenue Service Information)

F. Form SSA-2495 (Authorization to Disclose Internal Revenue Service Information (Cont.)

COLLECTION AND USE OF INFORMATION ON YOUR CONSENT FORM—PRIVACY ACT NOTICE

The Social Security Administration is authorized to collect the information on this consent form under section 1613(e) of the Social Security Act, as amended (42 U.S.C. 1383(e)). Giving us the information on this form is voluntary. You do not have to do it, but without this information we may not be able to complete a decision on the claimant/recipient's application for benefits.

The Social Security Administration will use the information on this form to help decide if the claimant/recipient is eligible for benefits. We may routinely give out the information on this form without your consent if:

1. We need to get more information to determine the claimant/recipient's eligibility for benefits; or

2. An agency needs this information to decide the claimant/recipient's eligibility for a health or income program such as SSI State supplementary payments, food stamps, Medicaid, energy assistance, Veterans benefits, railroad unemployment insurance, or Basic Educational Opportunity Grants.

These and other reasons why the information on this form may be used or given out are explained in the *Federal Register*. If you would like more information about this, get in touch with any Social Security office.

G. SSR Prison Inmate Alert

SSR PRISON INMATE ALERT

Run Date: MM/DD/YY DO: 999

SSN: 999-99-9999 Name: Jones, J

Date of Confinement: MM/YY

Mailing Address: 123 4th St. Anytown ST 12345

Residence Address: 432 1st Ave. Anytown ST 12345

Correctional Facility Code: 9999

Concurrent Title II/XVI Eligibility Code: Y

H. State Wage Alert

STATE/SSR EARNED INCOME DISCREP RUN DATE MM/DD/YY TOT EMP 002 STATE CODE 99 PAGE 1 OF 1

A 999 999-99-9999 JONES 999-99-9999 JONES J DI

ABC CORP
123 4TH ST
ANYTOWN, ST 12345

9999.99 0188

A COMPANY
432 1ST ST
ANYTOWN, ST 12345

1111.11 0188

I. WC Interface Alert

XX-SSA DATA EXCHANGE

999999999 ALERT-CODE G TOE 999 PC 7 DOC 999 EVS MULT N

NAME:	Jones, John J
DOB:	MM/DD/YY
SSN:	999-99-9999
CLN:	111111111S
DOI:	MM/DD/YY
CLSTAT:	1
AWDBASIS:	1
WCAMT:	$$$$.cc
BEGDT:	MM/DD/YY
ENDDT:	MM/DD/YY
L/M EXP:	$$$$.cc
ISSUDT:	MM/DD/YY
LS SET DT:	MM/DD/YY
LS AMT:	$$$$$$.cc
LS WKLY AMT:	$$$$.cc
LS No.of WKS	Ø52
LS BEG DT:	MM/DD/YY
LS L/M EXP:	$$$$$$.cc
LS ISSUDT:	MM/DD/YY

WC PRIOR PERIODIC HIST	FROM	TO	AMT	FREQ	L/M EXP
	MM/DD/YY	MM/DD/YY	$$$$.cc	1	$$$$.cc
	MM/DD/YY	MM/DD/YY	$$$$.cc	1	$$$$.cc

LS HIST	SET DT	AMT	WKLY AMT	No.of WKS	BEG DT	L/M EXP
	MM/DD/YY	$$$$.cc	$$$$.cc	Ø52	MM/DD/YY	$$$$.cc

E02310.000 SSI Interfaces

Internal Revenue Service (IRS) Interface--General.....E02310.045

E02310.045 Internal Revenue Service (IRS) Interface--General

A. Introduction

The most recent cost/benefit evaluation of the Internal Revenue Service interface demonstrated that adjustments to the tolerances are appropriate. Until these tolerances are automated, field office (FO's) may apply them manually.

NOTE: Although a transaction may not require development according to the tolerances below, FO's may **opt** to develop a transaction if deemed material to eligibility or payment amount.

B. Policy

1. INCOME INDICATORS

a. Couples Cases

This includes eligible couples and eligible individuals with ineligible spouses. Development of income indicators is not required. However, if the alert indicates receipt of income since initial eligibility and the transaction is material to eligibility, develop the income.

b. Eligible Individuals/Eligible Children

If the income indicators total less than $501, no development is required.

2. RESOURCE INDICATORS

a. Couples Cases

This includes eligible couples and eligible individuals with ineligible spouses. If the resource indicators total less than $101, no development is required. If they total $101 or more, follow the instructions in SI 02310.047 - .048 **unless** the conditions in c. below apply.

b. Eligible Individuals/Eligible Children

If the resource indicators total less than $41, no development is required. If they total $41 or more follow instructions in SI 02310.047-048 unless conditions in c. below apply.

c. Previously Alerted Cases

- If the **difference** between this year's and last year's total resource indicators is

within $10 (plus or minus), no development of the current resource indicators is required, even if the source of the transactions is different. Otherwise, follow SI 02310.047-048.

Example: An IRS alert from a prior year contained resource indicators totalling $75. The current alert on the same individual contains resource indicators totalling $84. Since the difference between the two alerts is less than $10, development is not required even though the source of the alert is different.

- If the **current year's** total of resource indicators is **$41 to $50 and is less than** the previous year's total, no development of the current year resource indicators is required, even if the source of the transactions is different. Otherwise, follow SI 02310.047-048.

  Example: An IRS alert from a prior year contained a resource indicator totalling $60 but the current year resource code totals $48. Do not develop this alert because the current year's resource indicator is between $41-$50 and is less than the prior year.

C. Procedure

1. SCREEN CASES

a. Control List

Each FO will be sent a list identifying IRS alerts that meet the new tolerances. Screen pending alerts against this list to determine which alerts need not be developed.

b. Frequency of List

A list will be provided after each IRS interface (or three times per year) until the system can automate the new tolerances.

2. DOCUMENTATION

If development is curtailed because one of the tolerances in B. applies, document the file as described in SI 02310.047 D.

3. CLEARANCE

Clear the diary according to SI 02310.046 D.3 and SM 01301.950.

* U.S. GOVERNMENT PRINTING OFFICE: 1989 241-323/05060